



EU P2P

Newsletter

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The EU P2P Export Control Programme is managed by the European Commission's Service for Foreign Policy Instruments (FPI) and the European External Action Service (EEAS).



Welcome from the European Union

We are pleased to welcome you to Issue N. 11 of the EU P2P Newsletter concerning the period from April 2020 to April 2021. The European Union Partner to Partner Programme (EU P2P) continued promoting cooperation and capacity building activities under all main areas of its activity albeit with less intensity due to limitations posed by the sanitary situation in partner countries across the world.

As regards dual-use activities, the Programme continued operating exclusively on the basis of webinars and online conferences in order to observe applying restrictions and to avoid setting in risk the health of trainers and participants.

Remote technical cooperation activities took place in North Africa (Morocco), Middle East (Iraq), and South East Europe (North Macedonia). In parallel, the South East Asia region continued being in the spotlight with activities in Philippines and Lao PDR, with the latter being in the run up towards the adoption a Strategic Trade Control legal framework by the end of 2021. The EU P2P export control programme for dual-use goods remained active also in the Eastern Europe and Caucasus with the second national activity for Belarus and a series of webinars aimed at discussing export control risks stemming from academic research and best practices in complying with export control requirements in a research context.

This Issue features also an update on the progress achieved under the various projects of the EU Targeted Initiatives on export controls for Central Asian and GUAM countries.

Finally, during this reporting period, the EU – US Export Control Capacity Building Working Group convened two times (July 2020 and February 2021) to discuss coordination and collaboration between EU's and US's assistance and cooperation programmes. It is noted that cooperation between the US EXBS and the EU P2P is strong at both operational and management level.

As the breakout of the COVID-19 pandemic has transformed our way of working and living, the implementing organisations of the dual-use strand of the EU P2P Programme along with the European Commission services have undertaken to develop further tools and online training possibilities for advancing cooperation with the partner countries in the near future.

On the conventional arms export side, since the last Newsletter, the Council Working Party on Conventional Arms Export (COARM) started work on the implementation of the deliverables included in the Council Conclusions regarding the review of the Common Position 2008/944 CFSP on arms export control. Following agreement in COARM, the European External Action Service (EEAS) launched in October an online database on its website allowing the public to consult and analyse the data on Member States' arms exports in a user-friendly manner. This data-



base has been broadly welcomed as a significant increase in transparency on arms export. The database contains information on the value, destination and type of arms export licenses and actual exports from Member States, and will be updated on an annual basis. Furthermore, COARM prepared a Council Decision on end-user certificates for the export of small arms and light weapons and their ammunition. This Decision was adopted in January 2021, and contributes to further convergence among Member States' arms export policies. COARM continued its outreach to and regular dialogue with a variety of stakeholders, including civil society organisations, the ICRC and representatives from the European defence industry.

Political Dialogue meetings on arms export control issues were held in 2020 with Norway and the United States. These political dialogues provided a forum for fruitful discussions on matters of mutual interest, such as export policies to specific destinations, compliance and control issues and the Arms Trade Treaty process.

Despite the difficult circumstances caused by the pandemic, outreach activities to support third countries in setting up arms export control system continued. So did the promotion of the universalisation of the ATT. Under Council Decision (CFSP) 2018/101 of 23 January 2018 and its successor Council Decision (CFSP) 2020/1464 of 12 October 2020 on the promotion of effective arms export controls, implemented by the German Federal Office for Economic Affairs and Export Control (BAFA), a number of workshops and individual assistance events took place, mostly in a virtual format due to the pandemic. In addition, further regional outreach activities, tailored national assistance programmes and ad hoc individual assistance workshops were carried out under Council Decision (CFSP) 2017/915, implemented by BAFA and Expertise France, supporting the Arms Trade Treaty's effective implementation and universalisation. Finally, with the adoption of Council Decision (CFSP) 2021/649 of 16 April 2021 on Union support for activities of the ATT Secretariat in support of the implementation of the Arms Trade Treaty, the EU further stepped up support in the fight against the illicit arms trade.

All these events and capacity building activities concerning dual-use export controls, Arms Trade Treaty (ATT) implementation and Conventional Arms Export Controls (COARM) are described in more detail in this 11th Issue of the Newsletter.

Natalie Pauwels, Head of Unit, Stability and Peace – Global and Transregional Threats, European Commission, Service for Foreign Policy Instruments (FPI)

Eran Nagan, Chair of the Working Party on Conventional Arms Export (COARM), European External Action Service (EEAS)



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Export Controls News

Dual-Use Trade Control News

6th EU P2P Summer University on Strategic Trade Controls 2020

17 to 21 August 2020, online

Since 2014, the EU organises its Summer University on Strategic Trade Controls, an annual initiative gathering export control experts from non-EU countries to discuss topical international security and export control matters and reinforce communities of practice. The University offers a full immersion to export controls and provides practice oriented sessions and interactive exercises delivered by EU experts in the timespan of one intensive week filled with various lectures and study visits.

In 2020, due to the peculiar sanitary situation, the sixth edition of the Summer University 2020 on Strategic Trade Controls took place online version, from 17 to 21 August 2020. About 20 officers from customs and licensing authorities from SEA, MENA, Central Asia and Eastern Europe attended the lectures and received a certification based on their performance in their daily assignments and participation in the virtual classroom. The initiative was funded by DEVCO and it was designed and organised by JRC with the valuable support of the University of Liege as well as of EU experts from Slovenia, Netherlands, Spain and Italy.

The 2020 EU P2P Summer University was divided in four specialized sessions as follows:

- Designing a trade control system: terminology and technicalities
- Academic proliferation: how to strike a balance between academic freedom and export controls
- Export controls and the fight against the COVID 19 pandemic: what could be learned?
- Customs and prosecution: targeting the control to export control risks
- Detecting potentially sensitive technology transfers through data mining techniques

The participants coming mostly from customs administration expressed their appreciation for the quality of lectures and expressed their wish to remain engaged in future educational and capacity building activities from the EU.

The 7th EU P2P Summer University on Strategic Trade Controls 2021 will take place again online from 16 to 20 August, 2021.

Author: Christos Charatsis, European Commission, DG JRC



Agreement on the modernisation of EU export controls and the new dual-use Regulation

9 November, 2020, Brussels

On that date, the European Parliament and the Council reached an agreement on the basis of the Commission proposal for a modernisation of the EU export control system on sensitive dual-use goods and technologies.

The new [Regulation 2021/821](#) (recast) was published on the Official Journal of the EU on June 11 and, it will take effect on September 9. It provides inter alia a new basis for the coordination of controls on a wider range of emerging dual-use technologies between the Commission and Member States in support of the effective enforcement of controls throughout the EU. Due diligence obligations and compliance requirements for exporters have also been introduced, recognising the role of the private sector in addressing the risks posed by trade in dual-use items to international security. Transparency will also be enhanced through the obligation to publish reports on the licenses granted.

Finally, the new Regulation provides a strong basis for the EU to engage with third countries in order to support a global level-playing field and enhance international security through more convergent approaches to export controls at global level.

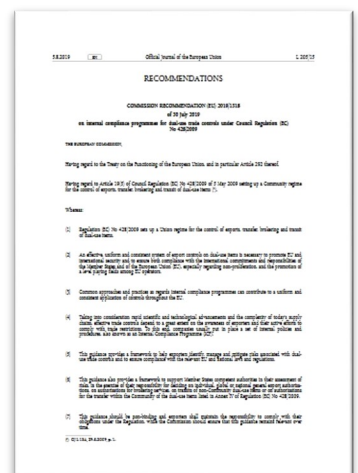
More information is available [here](#).

Author: Christos Charatsis, European Commission, DG JRC

Forthcoming EU Guidance for “Research involving dual-use items”

In July 2019, the European Commission published its first guidance document on internal compliance measures for dual-use export controls, in the form of an [EU Recommendation](#). While this guidance was largely welcomed by industry exporters, in particular research organisations voiced, at several occasions, that more tailored guidance would be needed because aligning regulatory compliance objectives in the dual-use export control context with research realities is particularly challenging. To this end, the European Commission and the EU Member States drafted - in close collaboration with representatives from the research community - a tailored compliance guidance document on research involving dual-use items. The European Commission is currently in the final phase for publishing the document. For a first glimpse into the content of the forthcoming guidance, please consult this [recent article](#).

Author: Johan Evers, Department of Chancellery and Foreign Affairs (Flanders, Belgium)





The 2020 Export Control Forum: taking on modernisation and emerging technologies

11 December, 2020, Brussels

The European Commission and the German Presidency of the Council held the 2020 Annual Export Control Forum on December 11. The 2020 Export Control Forum took place virtually due to the Covid-19 pandemic and brought together over 800 registered experts from EU Member States and the European Parliament, industry, academia and civil society in order to review and discuss export control developments and trends.



The [2020 Export Control Forum](#) set the stage for a discussion of the EU export control modernisation process, with participants welcoming the political agreement on a new Regulation as a necessary step in light of a rapidly changing technological and security environment. Representatives from industry and civil society shared their views and expectations from the review of the Regulation, while the Commission and the Member States presented their initial plans for the implementation of the new Regulation. Different interventions highlighted that the new Regulation would equip the EU with tools to become a key "player" in the field of strategic export controls.

Furthermore, the Forum reviewed the main changes to the updated EU dual-use control list, discussed the development of EU compliance guidance for research involving dual-use items, particularly in light of the outcomes of a public consultation held between October and November 2020. Also, the participants discussed plans for applying export controls after the end of the transition period with the UK at the end of 2020, as administered by the EU dual-use Regulation, and in accordance with the Northern Ireland Protocol. Discussions also highlighted the increasing importance of emerging technologies and Intangible Transfers of Technologies (ITT), especially as other countries are stepping up controls in this respect.

Author: Stephane Chardon and Dan Constantin, European Commission, DG Trade

2020 Export Control Annual Report: an eventful year for EU dual-use export controls

3 February 2021, Brussels

The [2020 Export Control Annual Report](#) was adopted by the European Commission and presented to the European Parliament and Council on February 3. The Commission has been publishing annual export control reports since 2013 in order to enhance transparency and to enable an improved compliance with the Regulation.

The 2020 Export Control Annual Report presents an overview of the activities of the Dual-Use Coordination Group (DUCG), the development of the secured electronic information exchange system between the Commission and Member States ("the Dual Use e-System" - DUES), the updating of the Regulation's Annexes, as well as the implementation and enforcement measures of the Member States.

In particular, the 2020 Annual Report highlights the progress of the legislative process to modernise Regulation (EC)



No 428/2009, as political agreement was reached between the co-legislators -the European Parliament and the Council- on 9 November 2020, on the terms for a new Export Control Regulation. The Commission welcomed a "systemic shift" and emphasized that the reform will upgrade and strengthen the EU's export control toolbox to respond effectively to evolving security risks and emerging technologies and allow the EU to effectively protect its interests and values and, in particular, address the risk of violations of human rights associated with the trade in cyber-surveillance technologies.¹

Industry and civil society stakeholders' views have also been taken into account during this legislation process, through a series of consultations. The [2020 Control Export Forum](#) provided a further opportunity for exchanging views on the prospects for the introduction and implementation of the new Regulation.

On 7 October 2020, the Commission adopted Commission delegated regulation (EU) 2020/1749, updating the EU control list in consideration of the modifications agreed in the framework of the multilateral export regimes in 2019. A "[Comprehensive Change Note](#)" was published as guidance in order to support the EU exporters in the application of the updated control parameters.

The 2020 Export Control Annual Report also provides an overview of the discussions that took place between the Commission and experts from the EU Member States in the context of the DUCG, the continuing activities of a Technical Expert Group for the development of an electronic licensing tool for competent authorities (the so-called "eLicensing pilot project") as well as the progress towards the development of EU Guidance for research involving dual-use items.

Last but not least, the 2020 Export Control Annual Report provides data on EU export controls. Statistical estimates show that the total value of applications exceeded EUR 50.7 billion and that controlled dual-use exports represent 2.6% of total extra-EU exports (2018 data). By contrast, the data indicate that only 791 denials were issued in 2018, representing about 0.02% of total extra-EU exports.

Author: Stephane Chardon and Dan Constantin, European Commission, DG Trade

Countering Proliferation Financing under the EU P2P Export Control Programme for Dual-Use Goods

The [United Nations Security Council Resolution 1540](#) - UNSCR 1540 (2004)-, adopted under Chapter VII of the UN Charter, aims at combating the proliferation of nuclear, chemical and biological weapons and their means of delivery (like missiles and UAVs) and the illicit trafficking of related materials (known also as dual-use items). The Resolution emphasises the risks posed by non-State actors and sets inter alia a legally binding obligation to all UN Member States to establish and maintain effective national export and transshipment controls over such items, including controls on providing funds and services linked to such export and transshipment such as financing, and transporting that would contribute to proliferation.

¹See "Commission welcomes agreement on the modernisation of EU export controls" in: <https://trade.ec.europa.eu/doclib/press/index.cfm?id=2209>.



The [Financial Action Task Force](#) (FATF) is an intergovernmental organisation founded to set standards and promote effective implementation of legal, regulatory and operational measures for combating money laundering, terrorist financing and the financing of proliferation and other related threats to the integrity of the international financial system.² The FATF Recommendations and Immediate Outcomes set out a comprehensive framework of international standards that countries should implement in order to combat money laundering and terrorist financing, as well as the financing of proliferation of WMD.

Particularly, Immediate Outcomes states that persons and entities involved in the proliferation of WMD are prevented from raising, moving and using funds consistent with relevant Security Council Resolutions (UNSCRs). Some specific recommendations address proliferation finance in more details, such as Recommendation No. 7. It stipulates that countries should implement targeted financial sanctions without delay to comply with UNSCRs relating to the prevention, suppression and disruption of the proliferation of WMD and its financing. Among other things, technical compliance to this recommendation would require countries to ensure that an asset is frozen without delay and that no funds and other assets are made available, directly or indirectly, to designated persons or entities under the relevant UNSCRs.

In this context and given the linkages between export controls, sanctions implementation and financing of proliferation, the Strategic Trade Management Office (STMO) of the Philippines asked the EU P2P Programme on Dual-Use Goods to organise a specific activity on the "Proliferation finance legislation in the Philippines", in addition to the activities foreseen in the agreed national roadmap.

The EU P2P Programme associated with the Export Control and Border Security Programme (EXBS) and the Comprehensive Threat Reduction Programme (CTR) of the United States Department of State and joined efforts to offer, for the first time, a comprehensive support package to the Philippines to enable the elaboration of a comprehensive law that would prevent proliferation finance.

The first activity took place online from 27 May to 8 June 2020. It had the form of a so-called discovery module intending to assist the key Philippine government agencies in becoming aware of all aspects of this multidimensional issue and considering options for strengthening their country's national regulatory framework. The module itself constituted the first part of a three-phased plan designed by all involved parties and drawing on expertise from different engaged academic partners and think tanks such as King's College London, University of Liege and the Royal United Services Institute.

The webinar series counted with the participation of more than 40 officials from the STMO, the Anti-Money Laundering Council Secretariat (AMLC), the Department of National Defence, the Department of Justice, the Department of Foreign Affairs, the Department of Finance, the Department of Transportation, the Office of the President, and the Anti-Terrorism Programme Management Centre.

² FATF (2012-2020), International Standards on Combating Money Laundering and the Financing of Terrorism & Proliferation, FATF, Paris, France, www.fatf-gafi.org/recommendations.html.



The participants became familiar with the basic concepts related to proliferation finance and the existing control tools and standards. This first activity also allowed important stakeholders, beyond the STMO and AMLC, such as the Legislative Liaison Office of the President, to anticipate the forthcoming elaboration and submission to the Parliament and the Government of appropriate solutions to identified legal issues. As such, this discovery module fostered a common understanding of the topic and relevant challenges among all key stakeholders who will participate in the draft of the law proposals during the second phase of the activity.

On the EU side, the activity took place within the EU P2P Programme on Export Control for Dual-Use Goods and its Global Project 64.

Author: C. Charatsis, European Commission, DG JRC and Expertise France

ATT and COARM Trade Control News

Sixth Conference of States Parties to the Arms Trade Treaty (CSP6)

17-21 August 2020

Due to the COVID-19 pandemic, the CSP6 could not convene in person, but it was conducted in an unprecedented written procedure. Altogether, 17 procedural and substantive decisions previously subject to written comments were put for approval under silence procedure, without the usual involvement of civil society.

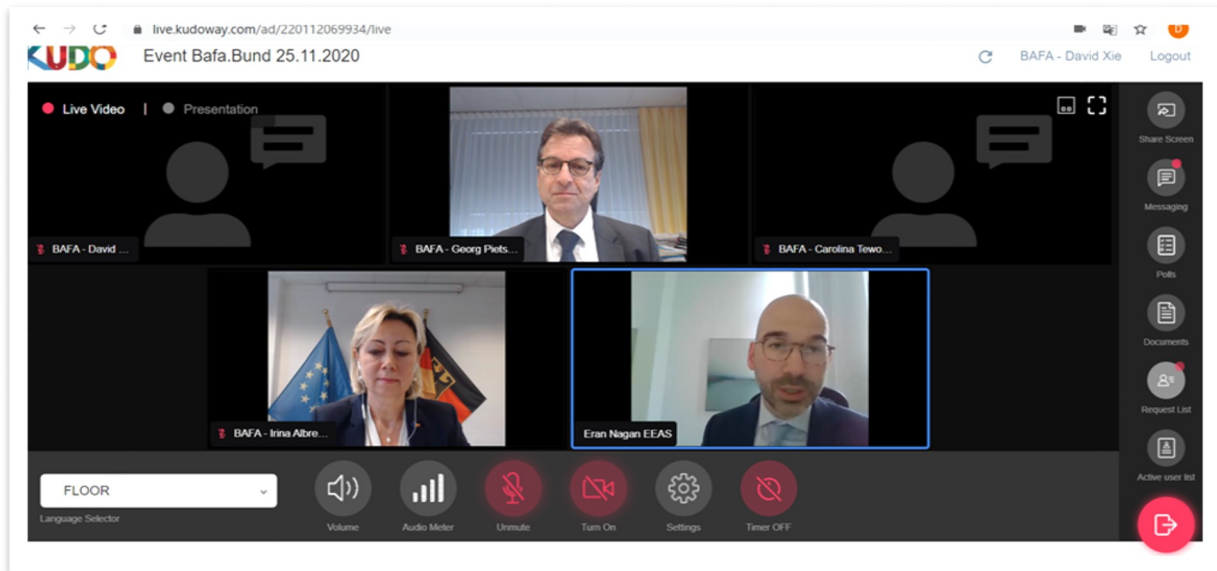
Further work within the ATT was not put at risk. States Parties were able to adopt decisions on the 2021 Presidency (Sierra Leone), budget and timetable, and agreed on the establishment of a Diversion Information Exchange Forum, a new platform to deal with concrete cases of diversion, which represents an important deliverable of the Conference, in line with the priority of the Argentinian Presidency to enhance transparency and exchange of information.

Further positive developments concerned the ratification and accession of 6 new States Parties, including a major arms exporter, China, which was welcomed by the EU.

The EU statement reaffirmed the EU's full support to the ATT, which aims at fostering responsible export policies, and preventing and countering the proliferation of illicit arms and ammunition, and diversion of conventional arms.

Sierra Leone will steer the Treaty towards the Seventh Conference of States Parties (CSP7), scheduled to take place from 30 August to 3 September 2021, in Geneva.

Author: Eran Nagan, Chair of COARM, EEAS



Screengrab from the Final Assessment Event for the EU P2P COARM Outreach Project IV

9th EU Non-Proliferation and Disarmament (NPD) Conference

12-13 November 2020

The High Representative of the Union for Foreign Affairs and Security Policy (HR/VP) Josep Borrell opened this annual international conference in a virtual setting with more than 400 registered participants, calling for continuous multilateral engagement in strengthening the non-proliferation and disarmament framework and highlighting the EU arms export online database as example of transparency. Keynote speeches were delivered by UN High Representative Ms Nakamitsu and Director-General of the OPCW Mr Arias. The panel sessions had a lively exchange, including one dedicated to arms export control challenges and the EU push for transparency.

Author: Eran Negan, Chair of COARM, EEAS

Final Assessment Event for the EU P2P COARM Outreach Project IV

Virtual Meeting, 25 November 2020

On 25 November, the Final Assessment Event for the fourth phase of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM Outreach Project IV), mandated by Council Decision (CFSP) 2018/101, was organised online via the platform KUDO. The event was prepared and conducted by BAFA which is the implementing agency of the project.

The objective of the conference was to evaluate and summarise the results, the progress and the challenges of the



activities implemented during the fourth project phase as well as to encourage a discussion among all interested parties about the upcoming fifth project phase. Overall, 63 participants from 17 partner countries, EU institutions and EU Member State authorities attended the event. As every region involved in the project was represented by at least two countries, there was a good basis to share different experiences.

During the Final Assessment Event, presentations on lessons learned, current challenges and proposals for the follow-up project were provided by partner countries' representatives of the different project regions, EU experts and BAFA, which facilitated both an assessment of the ending project and a preview of the coming project from different angles. The meeting ended with a plenary debate during which all the participants had the opportunity to express their views.

Before the event, the partner countries had been asked to complete a questionnaire regarding their specific national experience, existing challenges and ideas for further cooperation within the project, which complemented the feedback shared during the Final Assessment Event. Moreover, BAFA had shared an Implementation Summary containing a detailed overview of the project and the activities of the fourth phase in the run-up to the virtual meeting with all the registered participants.

The common understanding of the participants was that the continuation of the project, with virtual formats for the moment, was very welcome to ensure the continuity of the cooperation. The discussions proved the great interest of the partner countries in this project and demonstrated that even though each country eventually faces its own specific challenges, the exchange of good practices is highly valued and beneficial for all.

Both the outcomes of the questionnaire and the proposals brought up by the partner countries during the Final Assessment Event constitute a good basis for BAFA to resume contact with the respective focal points and proceed with the planning of activities under the new Council Decision.

The Final Assessment Event was supported by two EU experts from Croatia and Greece.

Author: BAFA Outreach Team

EU P2P: EU COARM Outreach Project V

12-13 November 2020

The new phase of the EU outreach project on the promotion of effective arms export controls (EU COARM OP V) was started on 1 December 2020, under the Council Decision (CFSP) 2020/1464. For a fifth consecutive time, the German Federal Office for Economic Affairs and Export Control (BAFA) has been entrusted by the EU with the implementation of the project, which is co-funded by the German Federal Foreign Office and BAFA. The first months of the project term have been used to explore and discuss possibilities and interests of cooperation with the partner countries as well as to set up a preliminary planning for (online) activities of the first year.



EU P2P: Dual-Use Trade Control Activities

CoE Project No 64 (Ad Hoc Events)

Countering Proliferation Finance: Engaging with the Ukrainian and Serbian governments and financial institutions

December 2020 – February 2021, online

The EU P2P Export Control Programme for Dual-Use Goods sponsored, along with the United States Department of State, two outreach activities to the governments and financial institutions of Ukraine and the Republic of Serbia between December 2020 and February 2021. The trainings were led by King’s College London and focused on raising awareness about the threat that proliferation finance poses to jurisdictions around the world and on best practices to mitigate that risk.

Government officials and professionals from financial institutions received the trainings separately. King’s College London designed a tailored approach to each audience that allowed to address the topics that were more relevant to each group.

Various sessions of the training were common for all participants. These covered the links between WMD programmes and the international financial market, the importance of observing international and national sanction regimes, the necessity of enforcing export controls to disrupt procurement networks, and the benefits of following a risk-based approach to proliferation finance in order for governments and financial institutions to design adequate mitigation strategies.

Besides those topics, the training for government officials emphasized the need for public institutions to ac-

tively engage with the private sector to design holistic approaches to tackle proliferation finance in their jurisdictions. Similarly, the training for financial institutions’ professionals included a session on best approaches to conducting due diligence and investigations on their customers to identify actors involved in proliferation finance activity.

Ukrainian and Serbian government officials and professionals from financial institutions actively participated in the trainings. Several of them presented the work of their agencies to the audience, allowing attendees to better understand the counter-proliferation finance efforts being carried out in their jurisdictions. They also engaged with the panelists during the Q&A sessions and participated in a practical exercise that assessed the knowledge they gained throughout the sessions. Upon completion, participants expressed their satisfaction with the training.

Author: EF Outreach Team

CoE Project No 64 (EUP2P DUG - South East Europe)

Online Training Session on Commodity Identification for North Macedonia

16-18th February 2021, online

The Online Training Session on Commodity Identification for customs officers from North Macedonia is the second national roadmap activity conducted for this partner country in the current phase (2017-2021) of the EUP2P Export Control Programme for Dual-Use Goods.

In line with the training needs expressed, the aim of the





Screengrab of the participants to the online training course

online workshop was twofold: firstly, raising awareness among the participating customs officials with respect to risks related to dual-use goods as well as illicit trafficking trends; secondly, building and enhancing capacities in the identification of dual-use goods.

In order to address the above-mentioned objectives, the three-day online workshop was organised in three parts. The first day aimed to address proliferation risks and threats related to dual-use goods and technologies as well as illicit trafficking routes and mechanisms. The second day was designed to discuss suitable answers to these threats such as detection and interception techniques. In addition, two case studies on commodity identification were introduced. The final day was further dedicated to commodity identification modules on nuclear technologies, chemicals, missiles and several practical exercises.

In total 18 customs officers with different backgrounds from customs offices all over North Macedonia attended the online activity. Moreover, three EU experts supported the mission.

By completing the training, the attendees were sensitized to the threats and risks related to dual-use goods

and technologies as well as common trafficking routes and mechanisms. In addition, the participants were trained in identifying selected dual-use goods that are targeted by the EU control list.

Author: EF Outreach Team

CoE Project No 64 (South East Asia)

Remote Technical Support Mission for Philippines

5-6 and 9-10 November 2020, online

A four-half-day themed event was conducted for Philippine officials from the Strategic Trade Management Office (STMO) and officers from the Philippines Customs in Manila in order to strengthen the implementation and enforcement of the Strategic Management Trade Act.

The main aim of the activity was to provide technical and practical advice from a Malaysian perspective on how Strategic Trade Controls (STC) have been implemented and enforced in Malaysia and in some other countries in the ASEAN region such as Singapore. In addition to this, a presentation of European and International good practices for effective strategic trade management was offered.

The seminar was followed by 33 officials comprising 28 officials from the STMO and 5 Customs officers. The participants used this forum to actively engage and discuss their experience, enhance their knowledge and capitalise on the lessons learned from applying export controls in SEA and the EU.

Author: EU P2P EF Outreach Team



Webinar on Internal Compliance Programmes (ICP) for Lao PDR

23-24 November 2020, online

The implementing consortium of the EU P2P Export Control Programme for Dual-Use Goods organized, together with the Laotian Department of Import and Export (DIMEX) -Ministry of Industry and Commerce- an online seminar on Internal Compliance Programmes (ICP) to support the country to implement a well-functioning trade control system when a Decree is adopted by the country, in the middle of 2021.

The objective of the seminar was to provide technical advice concerning ICP formulation facilitating exporters to observe export control requirements and benefit from general export authorisations, in the country. This includes drawing up guidelines for implementing effective ICPs containing the main ICP elements and expectations of authorities prior to issuing an export control license and particularly, global licenses. Lao was also assisted to draw up a checklist for audit purposes, particularly when an audit is conducted on license holders to verify compliance with the law. The interactive virtual event used examples of ICP guidelines implemented in the EU, Singapore and Malaysia while the proposed audit checklist was based mainly on the standard operating procedure as practiced in Malaysia.

The training was tailor-made and fulfilled the Lao's request to be held in an informal manner to enhance the expertise of the inter-agency Technical Drafting Committee of the Decree that will report to a High-Level Steering Committee for adoption of the decree, at the official level, before being elevated for political consideration.

Author: EF Outreach Team

Virtual Legal Support Seminar for Lao PDR

27-28 January 2021, online/Vientiane (Lao PDR)

The implementing consortium of the EU P2P Programme for Dual-Use Goods, together with the Department of Import and Export (DIMEX), in Ministry of Industry and Commerce, organised an online seminar for members of the Technical Drafting Committee for the Decree on Strategic Trade Controls (STC), on 27 and 28 January 2021. 22 participants representing several Lao PDR ministries and agencies participated. From the EU, three subject matter experts joined the two-day meeting virtually.

The objective of the online seminar was to (re)introduce participants to the subject of STC and share experiences on how STC are implemented and enforced in several Southeast Asian countries and the EU. The programme worked with the interagency committee drafting the decree to understand better the legal requirements necessary to support an effective and robust system for the control of dual-use goods in Lao.

Lao PDR is committed to a roadmap to work exclusively with the EU P2P Programme to adopt and enforce STC



Group photo of the seminar participants



Group photo of the seminar participants

in the country by the end of 2021. In support of this commitment, the programme has implemented several awareness and capacity building seminars and workshops in the country. Although physical meetings could not take place for the largest part in 2020, due to the COVID-19 pandemic, the country is committed to working closely with the EU P2P to achieve its objectives.

Lao PDR hopes to prepare a draft decree for the consideration and signature of the Prime Minister by May 2021 and thereafter continue working on the implementing infrastructure, including the Implementing Rules and Regulations for the law to be enforced. The EU P2P is committed to assisting Lao PDR with awareness and capacity building events not only until the decree is signed and come into effect but also in the future to effectively enforce the law.

Author: EF Outreach Team

The legal framework for dual-use trade controls in Lao PDR and its perspectives

15-8 April 2021, online/Van Vieng (Lao PDR)

On 5 and 6 April 2021, the sixth workshop on “The legal framework for dual-use trade controls in Lao PDR and its perspectives”, led by European and South-East Asian experts took place in Vang Vieng, in collaboration with the Ministry of Industry and Commerce. It brought together 23 members of the Technical Drafting Committee established in 2019 and it was chaired by the Ministry of Justice which is responsible for finalizing the drafts of the legal texts.

The workshop was opened by Ms. Manivone Vongxai, Deputy Director General of the Department of Import and Export (DIMEX), at the Ministry of Industry and Commerce. This was followed by opening words by Mr. François Pravongviengkham, Political Officer at the Delegation of the EU to Lao PDR, who highlighted the continued commitment of the EU for enhancing the economic and commercial cooperation with Lao PDR and for supporting its Government towards the finalisation of the different texts that will compose the legal framework on the management of dual-use goods. The participants worked these two days on consolidating the provisions of a draft Government Decree and its implementing regulations, while keeping international best practices in mind. Following that, The Technical Drafting Committee met in a national configuration, on 7 and 8 April, for finalising the formulations of these provisions in legal terms.

The Technical Drafting Committee has made major steps toward the finalisation of a comprehensive legal framework for managing the trade of dual-use goods in line with the regional and international best practices.



Group photo of the workshop participants

The framework will define the roles and competences of the key stakeholder ministries and the inter-ministerial coordination required for implementing effective controls and preventing the diversion of trade in dual-use goods for WMD proliferation purposes.

The Technical Drafting Committee will now submit the draft texts to the Steering Committee which is expected, at its next meeting, to endorse and propose the texts to the political authorities for adoption.

The EU P2P and its experts are committed to continue supporting the Technical Drafting Committee and the Ministry of Industry and Commerce to bring this process to a successful end and have started preparing the phase of implementation of the newly established system, under the EU P2P Programme.

Author: EF Outreach Team

CoE Project No 64 (North Africa and Middle East)

Virtual Legal Workshop for Morocco

24-26 November 2020, online

The implementing consortium of the EU P2P Programme for Dual-Use Goods organized, together with the Moroccan Ministry of Trade, Investment, Industry and Numerical Economy, an online legal workshop on the regulatory framework in relation to dual-use trade controls, in November 2020. The US EXBS implementing team participated in this workshop.

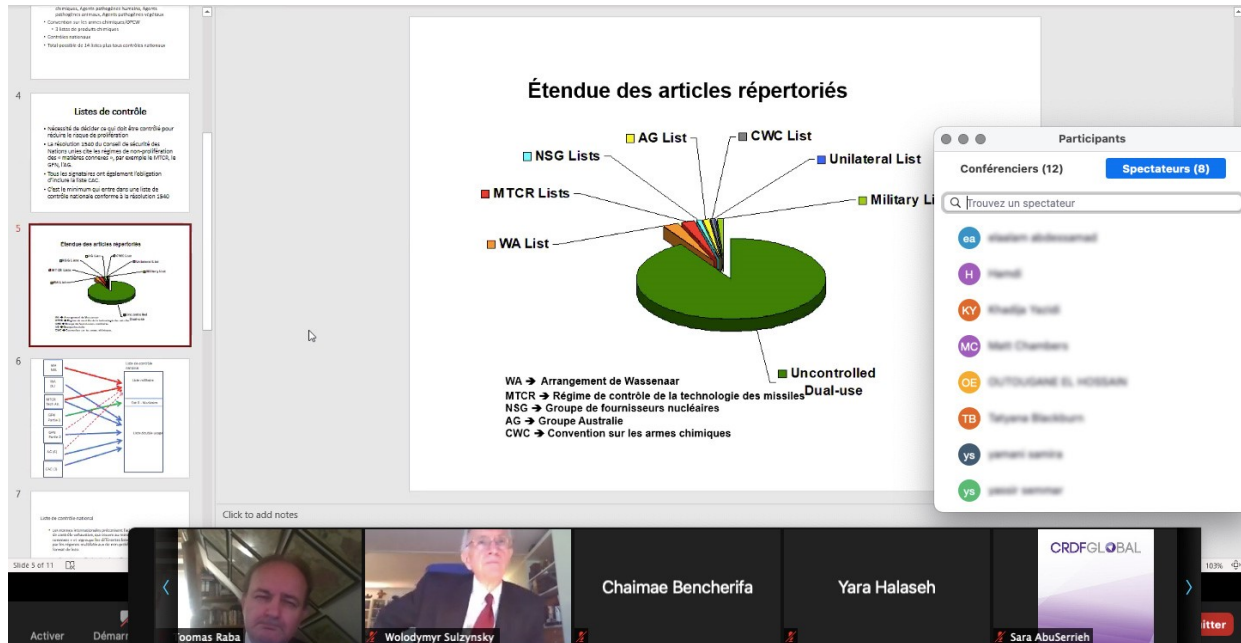
The objective of this workshop was to encourage the Moroccan partners to assess and decide on the possibility of a the control list as part of the national dual-use trade controls legal framework, as well as to consider including in the implementing regulations of the future Decree model forms to be used in the licensing process.

The event was attended by various key stakeholders and allowed for continuing the exchange with the Moroccan partners on the contents of the legal regulatory



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export control programme
for dual use goods



Screengrab of the legal online workshop

framework, as well as disseminating information and expertise on the control list and the licensing forms, which are topics of central importance towards the consolidation of the regulatory framework, enabled after the recently adopted Law.

The Law was formally published on 17 December 2021.

Author: EUP2P DUG - EF Outreach Team

CoE Project No 64 (Eastern Europe and Caucasus) Regional webinar “Export Controls and Academia”

9 December 2020, online

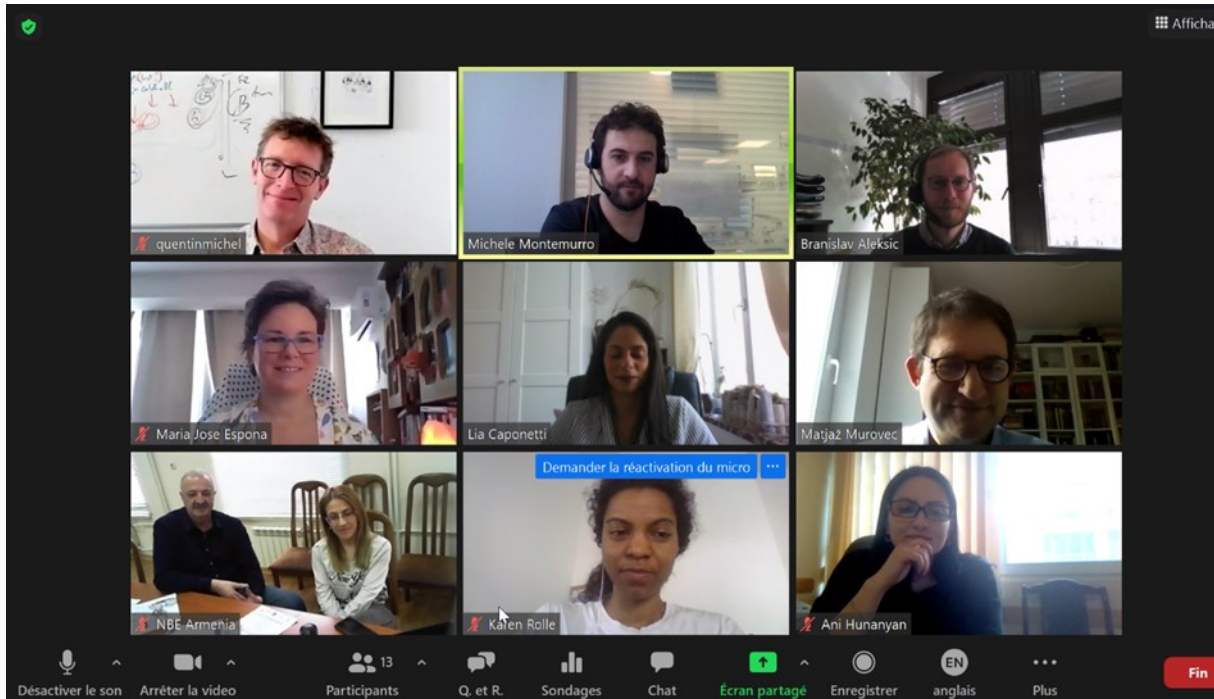
Within the Eastern Europe Caucasus region, the EU P2P Export Control Programme for Dual-Use Goods experimented a new innovative seminar – “Academia and Export Controls” - focusing on professors, scientists and scientific staff at universities and non-university re-

search institutions as well as representatives of these organizations working in the field of export controls or having a connection to the topic.

The main aim of the seminar was to raise awareness among academic institutions for complying with export controls and presented a view on this challenging topic from the perspective of universities, private scientific institutes and licensing authorities. The webinar covered various topics such as threat perception and proliferation risks in an academic environment, new responsibilities for the scientific community, academic ICP and the need to strike a balance between academic freedom and trade controls.

In order to increase the synergies among the various EU P2P projects, the EU Export Control Targeted Initiatives that are primarily active on this topic were presented.

The seminar counted in the audience participants from Belarus, Georgia and Ukraine, who came from govern-



Screengrab of the participants to the webinar for Armenia

mental and academic circles. In addition to EUP2P experts, representatives from the JRC and the University of Liege also took part in the webinar. The interactivity of the webinar allowing for discussions with colleagues and EU experts increased participants' knowledge in a very challenging topic and introduced ways of preventing and detecting unlawful technology transfers.

The event enabled all parties to deepen their knowledge and provided a platform where experts could exchange views on national and global challenges concerning the effective implementation of export control systems particularly in a research/academic context.

Author: EF Outreach Team

National webinar Armenia - "Export Control and Academia"

24 February 2021, online

Always in the framework of the EUP2P Export Control Programme for Dual-Use Goods 2017-2021, and to complement the first regional webinar for EEC which took place on 9 December 2020, a further national activity for Armenia was held on 24 February 2021.

The focus of the seminar, which addressed relevant authorities and academic circles concerned with strategic trade controls from Armenia, was on raising the awareness of universities and research institutions concerning export control objectives and to support them in complying with national and international export control requirements.



The International Science and Technology Center (ISTC) provided an overview of the relevant projects taking place in the framework of the Targeted Initiative of CBRN Export control to which Armenia actively participates.

The event deepened the knowledge of the participants and identified the possible directions of development of national export control systems in order to address proliferation risks stemming from academic activities.

Various EU P2P Dual-Use Project experts from Belgium, Germany and Slovenia as well as a Target Initiative CBRN expert from Argentina supported this event by presenting relevant topics and moderating the discussions.

Author: EF Outreach Team

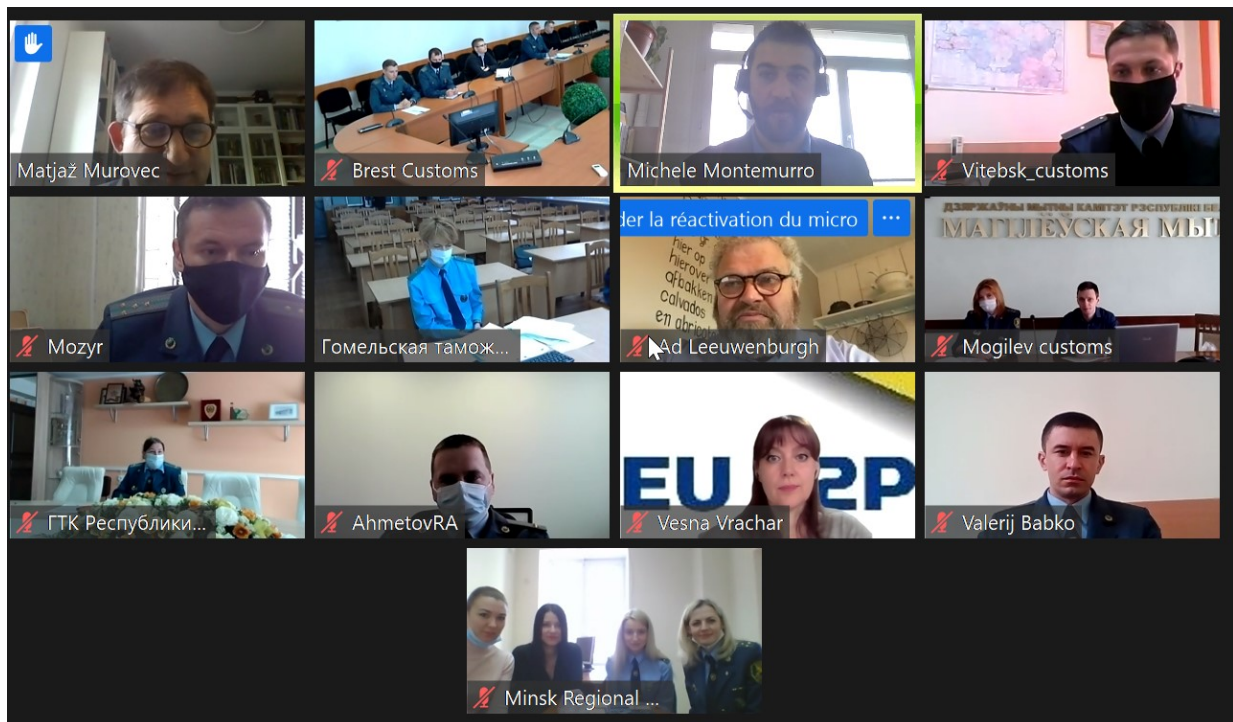
Second National Activity for Belarus

22- 24 March 2021, online

The second national seminar on “Dual-Use commodities from a customs perspective” for Belarus took place virtually, from 22 March to 24 March.

The focus of the seminar which addressed the State Customs Committee officials involved in STC was to enhance their capabilities related to dual-use export control enforcement and support further development of their own training capacity to provide such trainings at national level. The seminar was based on the WCO Strategic Trade Control Enforcement (STCE) Training Curriculum and was conducted by WCO accredited expert trainers.

In the first part, the participants were provided with an overall insight into the Strategic Trade Control Enforce-



Screengrab of the second national seminar on “Dual-Use commodities from a customs perspective”



ment with an emphasis on definitions and importance of strategic goods, international principles as consolidated by the multilateral export control regimes, main components of national strategic trade control enforcement systems and the role/responsibilities of Customs. In this part, Belarus also had the opportunity to present its national export control system in the domain of customs administration. In the second part of the seminar, the participants were introduced to the commodity identification concept and objectives. The EU P2P experts increased knowledge absorption and interactivity by asking questions through the poll function and by explaining the correct answers.

EU and WCO Experts from Slovenia, Netherlands and Serbia supported this event by presenting modules, national practices and practical exercises.

Author: EF Outreach Team

CoE Project No 38 (Jordan, Lebanon, Iraq)

Online Legal Assistance Workshop for Iraq

28 October 2020, online

On 28 October 2020, an online workshop on Iraq's legal and institutional framework in the field of STC of dual-use items took place. The online event was organized by the implementing entity BAFA and had two main goals: First, to take stock of the changes/amendments introduced to the Iraqi legal and institutional framework with regards to export controls since January 2020, and second, to jointly discuss and agree on an action plan for further project activities as the previous action plan was interrupted and postponed by the COVID-19 pandemic.³

The presentations by representatives from Iraqi authori-

ties provided the project experts team with a profound insight into Iraq's current legal framework, administrative procedures and involved stakeholders. Moreover, it was jointly agreed to hold a series of online workshops focussing on the (technical) rating and assessment of dual-use goods against the EU control list, which is also applied in Iraq.

18 participants from various Iraqi governmental authorities took part in the activity, including the Iraqi National Monitoring Authority (INMA), three national ministries, customs and the national security apparatus.

The activity was supported by project's key experts from Germany, Hungary and Jordan.

Author: BAFA Outreach Team

First Online Workshop for Iraq – Commodity identification and applying the dual-use control list

8-9 December 2020, online

On 8-9 December 2020, BAFA organised a further online workshop for Iraq. This workshop was the first of a series of online activities with a focus on the technical rating and assessment of dual-use items by applying the EU dual use control list.

The objective of this mission was to provide the 19 participants from several Iraqi government authorities with a general introduction into key elements and specific wording of the EU dual-use control list and to consolidate and apply the discussed contents by conducting a number of practical case studies. As agreed with the Iraqi CBRN National Focal Point in advance, these case studies focused on import scenarios of chemical substances as well as materials and technical equipment with potential end-use in the Iraqi oil industry.

³As for the first goal mentioned, reference is made to a first workshop, in which information about some basic elements of the Iraqi strategic trade control system was provided. As this is a rolling project, it was expected that since then some further amendments took place or have been initiated.



In addition to the in-depth introduction to the EU control list, technical experts from France and the United Kingdom also presented supplementary tools and sources of information to be used for the technical rating of dual-use goods, such as respective handbooks of the four regimes (e.g. Australia Group Handbook on Chemicals). Finally, legal/administrative experts from Hungary and Germany reflected on the important role of technical rating within a comprehensive trade control system and provided an outlook on the subsequent procedures, which need to be followed to complete the technical assessment of a controlled item, notably the end-use assessment.

The online workshop was supported by five senior experts from France, Germany, Hungary, Jordan and the United Kingdom.

Author: BAFA Outreach Team

Second Online Workshop for Iraq- Commodity identification and applying the dual-use control list

23-24 February 2021, online

Due to the current (travel) restrictions caused by the SARS-CoV-2 pandemic, the second workshop on commodity identification was held again online, with the Iraqi participants gathered in a conference room in Baghdad and the EU P2P experts and project representatives attending from their respective home countries. The objective of this workshop was to provide further training to Iraqi participants, coming from a number of different governmental authorities, in applying the consolidated EU dual-use control list in the technical rating of dual-use goods.

Following a previous workshop in December 2020 that



Participants to the 2nd online workshop for Iraq

had introduced the participants into the overall structure and general elements of the consolidated dual-use control list as well as some related case studies, this workshop was dedicated to applying the obtained knowledge and skills through (more complex) practical case studies. As agreed with the National Focal Point (NFP) to Iraq in advance, the presented case studies focused on the technical rating of chemical mixtures and different metal alloys, also depicting the distinctions between controls of such commodities based on the consolidated dual-use list and the EU's common military list.

In addition to the presentations and case studies prepared by the European experts, an Iraqi delegation representing the Iraqi National Monitoring Authority (INMA) presented a typical case example (request for the import of a chemical mixture), in which – according to Iraqi trade control law – a technical rating against the Iraqi dual-use control list is required. The presentation provided a comprehensive overview of the procedural steps and role of different competent authorities in Iraq's current licensing system for cross-border trade in dual-use goods.

In spite of some temporary interruptions resulting from a volatile internet connection in Baghdad, an active exchange and lively discussions between the workshop participants and the experts was achieved.

The workshop was supported by experts from France, Germany, Hungary, Jordan and the United Kingdom.

Author: BAFA Outreach Team



Other Dual-Use Trade Control Activities

Targeted Initiatives (TIs) for GUAM and Central Asian Countries (by ISTC & STCU)

The COVID-19 pandemic imposed global restrictions on society and our Targeted Initiatives were not an exception. Some of the planned activities had to be reframed to be executed under virtual conditions, and a few were delayed or even cancelled.

But many of the ongoing projects continued successfully and new ones are about to take off. The project leaders, the TI team of experts, and the Science Centres staff worked hard together to keep the projects alive and not lose precious time.

International Science and Technology Centre Activities

With the International Science and Technology Center (ISTC), we conducted several projects, finished others, continued negotiations, and started one new project.

The project concerning the development of a general model for Internal Compliance Programme (ICP) made substantive progress, and guidelines for a model ICP for the chemical industry was started. The models will be come available for use and adaptation to other industries as well as to academic and scientific institutions.

The Armenian Handbook on Export Control, developed by the Non-Proliferation Centre (NPC), an Armenian NGO, was finished and is posted on its website and on the ISTC's public website. The same team from NPC negotiated a new project proposal to develop a commodity classification App for mobile phones. Considering NPC's experience in export control and their successful completion of the Handbook, this project proposal was

viewed positively for its practical application and innovative use of technology.

Previous activities in 2019 resulted in the negotiations towards two master programmes: one in Kazakhstan and the other in the Kyrgyz Republic.

During 2020, negotiations and signature of the contract with the Central Asian Institute for Development Studies (CAIDS) for the academic project in Kazakhstan were completed, and the project will be implemented at the Eurasian National University (ENU). The project will include the customisation of the master's course implemented at the Taras Shevchenko National University (TSNU) in Ukraine, the delivery of courses, and creating a PhD programme.

In the Kyrgyz Republic, we worked with the leader of a previous project that produced the national export control handbook on selecting the university and preparing the project. Their new academic project will start in early 2021.

Regarding responsible science and dual-use awareness, we worked with Tajik and Armenian experts to identify each country's needs and possibilities and prepare projects. Both teams will continue to collaborate with scientists and the respective Academies of Science. The projects will probably start in 2021.



Participants of the participants for the Handbook meeting



Participants to the meeting

Emilya Titanyan from Armenia was awarded the 2020 ISTC PhD Grant in November. Her doctoral research aims to create a flexible normative-legal, strategic trade control system in line with international treaties and regimes signed by most ISTC countries. She will then test her model's sustainability in terms of protecting Armenia's national security and economic interests.

Contrary to previous grants and in an effort to reinforce the interaction between trade authorities, operators and PhD candidates, Emilya will remain employed by her administration and work part-time on her doctoral research.

The other two PhD grant students adapted their planning and work to respond to challenges set by the pandemic conditions.

Science and Technology Centre in Ukraine Activities

Work with the Science and Technology Centre in

Ukraine (STCU) in the GUAM countries remains intense, considering the number of activities and projects that are underway.

The year got off to an excellent start with the completion of the Georgian ([STCU News and Announcements](#)) and Moldovan ([STCU News and Announcements](#)) handbooks just before the pandemic in late February – early March 2020. The handbooks were publicly presented to their stakeholders, and the Ukrainian Handbook was also finished early in 2020, but not presented publicly because of the pandemic conditions ([Export Control Guidebook Ukraine](#)).

An essential outcome of the individual GUAM country handbooks was the recognition of the need for a deeper understanding of how customs procedures are managed in neighbouring countries. This led to a proposal from the Moldovan team to develop a GUAM regional handbook specifically for customs practitioners. Teams from the four countries led the discussions and devel-



Participants to the Kyrgyz project meeting

oped an outline for the regional handbook. The EU TI project has supported their work, and final discussions on the project budget and schedule are now underway.

The academic project at the TSNU in Kyiv was completed, and considering its positive outcome, it was extended for two more years. So far, it has attracted many students and now other universities and institutes are becoming involved to expand the programme nationwide. Work on the national accreditation of the master programme in its new extra-mural format is now underway.

Teaching of the master's course on export controls at the TSNU by visiting professors (six modules) was completed in the spring of 2020. Because of the COVID-19 travel restrictions, the final module had to be provided virtually. Shortly afterwards, local professors received intensive training to take over teaching responsibilities in the 2020-21 academic year. An intensive short course in May 2020 focussed on core concepts relating to CBRN-relevant dual-use technologies and transfer pro-

cesses. Their teaching began in October and continued through February 2021. The respective visiting professors attended their lectures virtually and evaluated the modules with the local professors immediately after each lecture. TSNU had adapted the modules to a new extra-mural format. This has allowed the local professors to reach students from other universities besides TSNU. The first series of lectures in virtual format proved successful.

The Technical University of Moldova initiated a project that incorporated a short version of the master's modules in its academic programme in 2020. It plans to provide an intensive short course for the local professors and students in January and in February 2021.

In Georgia, the project towards implementing an English-language master's degree programme in Global Policy and Security Studies at the Caucasus International University also started in 2020. In December, the project collaborators met virtually with the National Centre for Educational Quality Enhancement of the Ministry of Education, Science, Culture and Sports to discuss the project's relevance for Georgia, logistics and administrative issues.

An export control glossary project started in Azerbaijan. The Azeri team who worked on the handbook identified several terms and proposed an interesting proposal, which was approved. As countries prepared their export control handbooks, they identified several examples where it was difficult to find an accurate definition of certain terms. This was especially true for countries still transitioning from Russian to the local language as the working language. The Azeri glossary project authors identified approximately 60 words or terms that require better definition. The concept of a glossary has been discussed for several years, and if the approach pro-



posed by the Azeri team works well, it may be possible to expand the project to other countries.

An Internal Compliance Project with the Academy of Sciences in Ukraine started to be discussed, and document reviews and virtual meetings took place to discuss the approach and contents. The year finished with the project almost ready and the need to engage the Academy of Sciences to complete the next steps.

Unfortunately, the 2020 STCU PhD grant was not awarded. Even if the deadline would have been extended, the COVID-19 pandemic situation complicated practical implementation. Potential candidates could not activate their networks to identify potential supervisors in GUAM countries. The two students who received previous grants adapted their planning and continue to work under the pandemic conditions.

Visibility

Both the ISTC and STCU websites have special page dedicated to the TI projects to support accessibility to project results and information dissemination. The links are: <http://www.istc.int/en/ExpoControlALL> and <http://www.stcu.int/tiexpcontrol/index.php>.

Gender balance

Gender balance is an important consideration in implementing the TI. Of the five team experts, two are women: the project manager, Maria Espona, and senior expert Anne Harrington.

Half of the TI projects are locally managed by women who know the subject area well and engage in content development, network building and logistics: Viktoriya Gura, Emilyya Titanyan, Jyldyz Sydygalieva, Firuza Nasyrova, Tamara Prokhodtseva, Ana Toderas, and Tako Laghidze.

Conclusion

The pandemic restrictions have posed challenges to the project's continuation. Notwithstanding, team experts and project participants have adapted to the new situation and managed to cooperate virtually and develop some innovative approaches to education, training, and network building.

By stimulating project participants to engage in events organised by other international actors, contacts were expanded, and new ideas have emerged. In addition, the COVID crisis has led to the development of intra- and inter-regional activities and cooperation involving institutions in ISTC and STCU partner countries that otherwise may have been too complex or expensive to organise.

Several of those ideas combined with new approaches to coordination, education and training will be further developed and implemented in 2021 and beyond.

Author: Dr Jean Pascal Zanders, The Trench



Participants to the Kyrgyz project meeting



EU P2P: Arms Trade Treaty Activities

Arms Trade Treaty Outreach Project II (ATT-OP II)

The COVID-19 pandemic with its social distancing rules and restrictions on international travel had an immediate and direct impact (and still has) on the cooperation with the project partner countries. All the action plans and activities agreed upon with the partner countries until then were based on the presumption of personal physical contacts. Since March 2020, these personal meetings and activities were no longer possible.

It soon became obvious that the pandemic will cause a longer effect on the implementation of the different projects. Such, the outreach team of BAFA decided to prepare for offering digital activities formats to the partner countries. This sounds probably easier than it is in real practice. It started from identifying commercial software programmes, testing its suitability for project activities and ended in procuring the necessary hardware and video conference software. In parallel, the project partner countries were approached to discuss their interest to continue the cooperation under the projects in digital format. Further, the access to and availability of the required IT equipment, in particular sufficient internet capacity and computer equipment, was considered. The activities already agreed before the pandemic were evaluated with respect to their fit for digital formats and, if so, transferred to specific agendas, which were forwarded to the partner countries.

This sound preparation took several months. But the investment at the end paid off well, so that BAFA was able to resume the project activities in digital formats as of end of August 2020. In the future, when the COVID-19 restrictions allow, both types of cooperation

formats, i.e. in physical presence and digitally, will be offered to the partner countries, depending on several considerations. This broadens the range of activities and allows for a greater flexibility.

Likewise, Expertise France proposed to launch a series of open webinars to introduce and give the possibility to discuss topical issues of the arms transfers control and implementation of the Arms Trade Treaty. Each month, experts that actively contribute to the implementation of the programme as well as national and international officials, technical experts and civil society organisations' representatives are invited to share their experience through online dialogues.

The first three webinars dealt with the following topics:

- The “ATT annual reporting: obligations, facts and figures, templates and instruments of assistance”. The aim was to present and confront experiences about the implementation of the ATT annual reporting obligation, including the challenges met and support made available to the States Parties, as designed by the Working Group on Transparency and Reporting.
- The “risks of diversion of arms transfers and their management: before, during and after transfers”. The objective of the webinar was to provide information and raise awareness on the risks of diversion at the different stages of the arms transfers as well as best practices that can prevent such diversion to happen or mitigate its consequences.



- The “controlled arms under the ATT: new relevant technologies and equipment, updates of the international lists”. The purpose of the webinar was to present the most recently developed technologies in weapons and military equipment that could be controlled under the ATT and to discuss the definition and update processes of international control lists, specifically emphasising the best practices developed by the Wassenaar Arrangement.

The fourth and fifth ATT thematic workshops highlighted the complementary role of government and industry in the implementation of the ATT, as well as verification and investigation aspects for effective arms transfers controls.

Between 40 and 60 participants actively interacted at each webinar and dialogued with the field experts at this occasion. The events’ materials and records are available on the Expertise France’s public website.

Further summary descriptions for all different events are provided below.

Author: BAFA Outreach - EF Outreach Team

ATT Thematic Webinars by Expertise France

First Thematic Webinar

28 October 2020, online

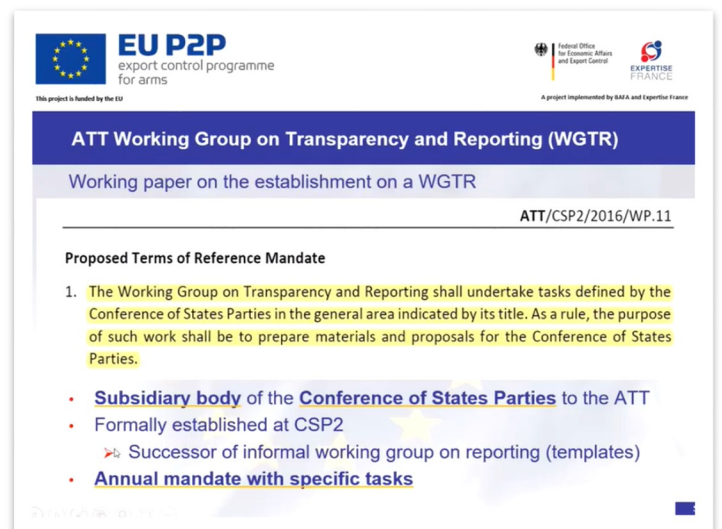
The first thematic webinar for the EU ATT OP II partner countries took place on 28 October 2020, in a virtual format. 58 participants from 9 countries and the EU reflected on the topic of “The Arms Trade Treaty (ATT) annual reporting: Obligations, facts and figures, tem-

plates and instruments of assistance”, which was proposed by Expertise France.

The speaking expert was Mr. Tom Nijs (Flanders Department for Foreign Affairs, Belgium) who made a presentation on the annual reporting requirements and current best practices in the framework of the ATT.



Participants in the 2nd Thematic Webinar



Slide presenting the Terms of Reference Mandate

The subsequent exchange of views with the experts allowed the participants to confront experiences and opinions concerning reporting at the level of State par-



ty, the perspective of developing reporting templates and the use of internal guidance documents for enhancing compliance with the obligations.

Second Thematic Webinar

23 November 2020, online

The second thematic webinar for the EU ATT OP II partner countries took place on 23 November 2020, in a virtual format. 70 participants from 10 countries and the EU actively interacted on the topic of “Risks of diversion of arms transfers and their management: before, during and after transfers”.

The speaking expert was Ms. Devin Morrow (Conflict Armament Research, United Kingdom) who made a presentation entitled as “The risks and management of arms’ transfers diversion”.

The subsequent exchange of views with the experts allowed the participants to acknowledge that diversion is a concern for arms trade transfers’ control on all continents. They shared experiences about the five main causes of diversion that are frequently encountered, the tools that can be used for managing this risk before, during and after the transfers and the inconsisten-

cies that can be observed with regard to the effective implementation of these tools.

Third Thematic Webinar

16 December 2020, online

The third thematic webinar for the EU ATT OP II partner countries took place on 16 December 2020, in a virtual format. 71 participants from 14 countries and the EU actively interacted on the topic of “Controlled



Participants of the 3rd Thematic Webinar

arms under the ATT: New relevant technologies and equipment, updates of the international lists”, which was proposed by Expertise France.

The speaking experts were Dr. Niklas Schörnig (Peace Research Institute Frankfurt, Germany) who made a presentation titled “The ATT and emerging technologies: what is covered? What is not? And what should be?” and Ms. Marie-Agnès Proust (Ministry of Armed Forces, France), who provided her expertise on “Updating the international lists of control”.

The exchange of views with the experts allowed the participants to express their perception of a need for outreach programmes to support specific and focused actions aimed at promoting the use of the Wassenaar Arrangement Ammunition List in the elaboration of the



Screengrab of Ms. Devin Morrow presentation



national control lists in partner countries.



Screengrab of presentation slides during the 3rd Thematic Webinar

Fourth Thematic Webinar

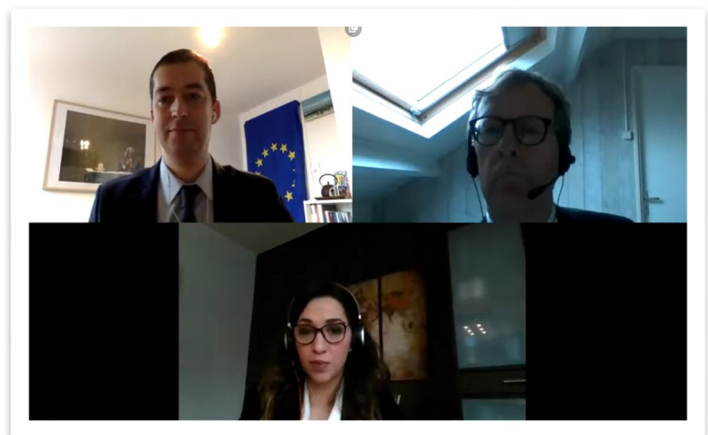
18 January 2021, online

The fourth thematic webinar for the EU ATT OP II partner countries took place on 18 January 2021, in a virtual format. 62 participants from 9 countries and the EU actively interacted on the topic of “State-Industry partnership in the implementation of the ATT”, which was proposed by Expertise France.

The speaking experts were Mr. Klaas Leenman (Netherlands Customs) who made a presentation titled “Partnership between authorities and industry in implementing trade controls” and Ms. Rosa Rosanelli (Patria Group, Belgium), who provided her viewpoint

and experience in the presentation: “The partnership between licensing authorities and industry in implementing arms transfers controls: an industry perspective”.

The intensive exchanges of views with the experts allowed the participants to discuss the role of outreach programmes to support specific and focused actions aimed at collecting and disseminating best practices for enhancing the partnership between authorities and private industrial actors in the partner countries.



Participants and screengrab of presentation slide during the 4th Thematic Webinar



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for arms-ATT

Fifth Thematic Webinar

25 March 2021, online

The fifth thematic webinar for the EU ATT OP II partner countries took place on 25 March 2021, in a virtual format. 67 participants from 11 countries and the EU actively interacted on the topic of “Verifications and investigations for arms transfers controls”, which was proposed by Expertise France.

The speaking experts were Maj. Gen. Etienne Paris (Ministry of Armed Forces, France) who made a presentation titled “Arms control in France: Exporters’ compliance” and Mr. Dennis Leenman (Netherlands Customs), who provided his expertise on the “Enforcement of ATT violations”.

The lively exchanges between the project’s experts and the participants highlighted the role of outreach programmes to support, through dedicated actions, the identification and dissemination of best practices concerning risk assessment procedures for end-use and end-user controls –notably the elaboration and use of end-use certificates – as well as in relation to verification, investigation and prosecution of arms transfer controls’ violations.



Screengrab of presentation slides during the 5th Thematic Webinar

Online Activity for Peru and Colombia

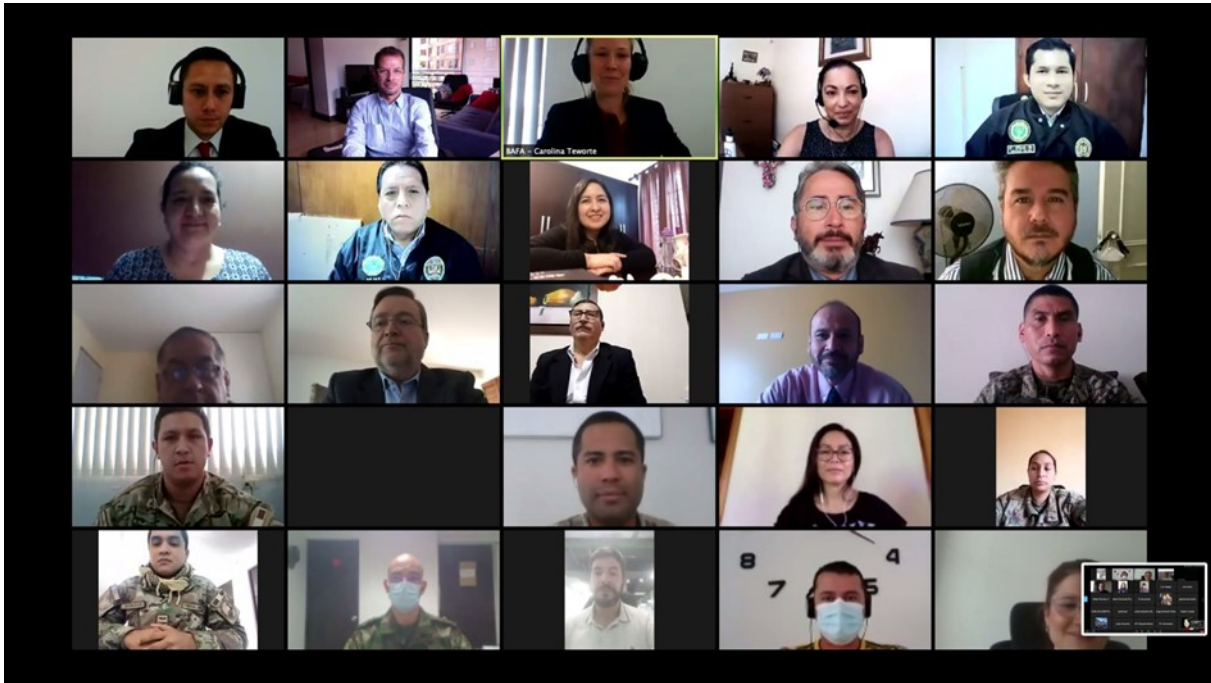
25-27 August 2020, online

From 25 to 27 August 2020, an Online Activity for Peru and Colombia took place within the framework of the EU Arms Trade Treaty Outreach Project II (EU ATT OP II), based on the EU Council Decision 2017/915/CFSP.

This activity was organised by the implementing entity



Participants to the 5th Thematic Webinar



Participants to the Online Activity for Peru and Colombia

BAFA. Initially, the Activity was planned as a bilateral one with the long-term partner State of Peru. However, based on a proposal by Peru, it was agreed that stakeholders from the neighbouring state of Colombia, also a partner country within the EU ATT OP II, would be invited to participate in the seminar.

The focus of the event was on general implementation of the ATT from a legal and practical perspective. Furthermore, the topic of classification and typology of conventional arms and ammunition as well as the general importance of the role of a national control list was addressed. Eventually, the seminar highlighted the relevance of implementing Articles 6, 7, 15, and 16 of the ATT. In the end, the participants had the opportunity to evaluate the event and, next steps regarding the implementation of the ATT were identified for both Peru and Colombia. Approximately, 60 State officials from relevant authorities, so 30 officials from Peru and Colombia

each, attended the activity.

During the first day, a presentation was dedicated to the topic of national implementation of the ATT from both a legal and an operational perspective. Subsequently, participants had the opportunity to ask questions that were answered by the expert.

The second day started with a presentation on classification and typology of conventional arms and the role of a national control list. Again, a questions and answers session concluded that day.

The third day of the binational online seminar addressed some specific provisions of the Treaty as stipulated by Art. 6 (Prohibitions) and 7 (Export and Export Assessment) and their implementation, as well as the importance and opportunities of Art. 15 (International Cooperation) and Art. 16 (International Assistance), respectively.



The activity was supported by three experts in the field of export controls from Mexico, Peru, and Costa Rica, and organised by the implementing entity BAFA (Federal Office for Economic Affairs and Export Control), Germany.

Author: BAFA Outreach Team

Online Activity for Peru and Ecuador

5-6 October 2020, online

An Online Activity for participants from Peru and Ecuador was conducted by BAFA on 5 and 6 October 2020 in the framework of the EU Arms Trade Treaty Outreach Project (EU ATT OP II), based on the EU Council Decision 2017/915/CFSP.

The focus and contents of the activity were developed in cooperation with the long-term partner country of Peru. Peru also proposed to invite participants from its neighbouring country Ecuador, which is not yet a signatory state of the ATT and had not participated to any former outreach activity in the framework of the ATT Outreach Project. In this way, the bilateral cooperation between Peru and Ecuador could be strengthened and the ATT could be promoted as a valuable instrument to control arms trade to this non-signatory state.

This binational online workshop mainly focused on the challenge to prevent and combat illicit arms trade across borders. Approximately 75 Peruvian state officials and 30 Ecuadorian state officials from relevant authorities attended the activity, raising the total to 105 representatives.

The first Workshop day kicked off with welcome remarks from representatives of the Ecuadorian Ministry of Foreign Affairs and Human Mobility and the Peruvian

Ministry of Foreign Affairs.

On 5 October, the first presentation served to introduce the participants to the classification and typology of conventional arms and ammunition, according to the eight categories of the ATT. The second presentation provided an overview of the architecture of international and regional instruments for the control of conventional arms, firearms and ammunition in the Andean region, among them the ATT as the newest instrument.

In the first session on 6 October, the relevance of the ATT was pointed out for the Latin American region, explaining its objectives and obligations as a binding Treaty to the Ecuadorians, who are not yet a signatory party. The last session addressed the prevention of illicit arms trade and diversion, which is a central challenge in the bordering zones of Peru and Ecuador. It was jointly provided by two experts and included case studies, instruments and good practices from the Latin American region. Each session was followed by a questions and answers session.

The activity was supported by three experts in the field of export controls from Peru, Colombia, and Costa Rica and organised by the implementing entity BAFA (Federal Office for Economic Affairs and Export Control), Germany.

Author: BAFA Outreach Team

Online Activity for the Republic of Moldova

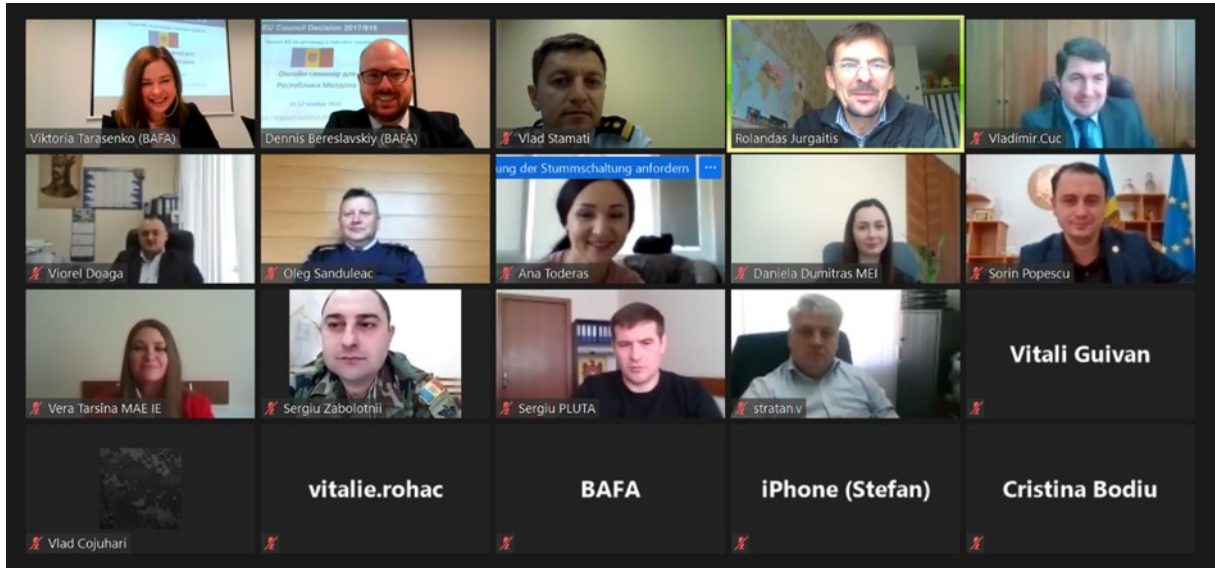
11-12 November 2020, online

On 11 and 12 November 2020, an Online Activity for participants from the Republic of Moldova was conducted by BAFA in the framework of the EU Arms Trade Treaty Outreach Project (EU ATT OP II), based on the EU



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Participants to the Online Activity for the Republic of Moldova

Council Decision 2017/915/CFSP.

The Republic of Moldova made a request for ad-hoc assistance in the framework of the ATT OP II, last year. Originally, a physical presence event was planned for the beginning of the year, but due to the current COVID-19 pandemic situation, Moldova and the implementing agency BAFA agreed to have an online seminar instead.

In 2019, the Republic of Moldova updated its national control list with regard to the EU Common Military List from 2016. In order to fill the remaining gaps and prepare a new update, the focus of this online workshop was placed on the harmonization process of the Moldovan national military list with the EU Common Military List. Additionally, the event covered topics related to risk assessment and risk management. Overall, 16 participants from the Republic of Moldova attended the event on both days, among them, representatives of

the Ministry of Foreign Affairs and European Integration, Public Services Agency, Ministry of Internal Affairs, Customs Service, Ministry of Economy and Infrastructure, Security and Intelligence Service as well as the Ministry of Defence.

The first session, on 11 November, was dedicated to the harmonization process of the Moldovan national military list with the EU Common Military List. The second session on the same day provided a short overview over the practical use of databases, from Lithuanian customs as well as from BAFA perspective. In the third session on 12 November, a presentation about risk assessment and risk management, including several case studies, was provided. Each of the presentations was followed by a question and answers session.

The activity was supported by an expert in the field of export controls from Lithuania, and organised by the implementing entity BAFA (Federal Office for Economic



Affairs and Export Control), Germany.

Author: BAFA Outreach Team

Online Activity for Georgia

17-19 November 2020, online

From 17 to 19 November 2020, an Online Activity for Georgia took place in the framework of the EU Arms Trade Treaty Outreach Project II (EU ATT OP II), based on the EU Council Decision 2017/915/CFSP. Georgia became a partner country in the first project phase under the scope of the EU Council Decision 2017/915/CFSP and continued its cooperation within the second phase of the project.

Georgia has recently restructured their licensing department. In this regard, the partner country aims to establish a sound system for Internal Control Programmes (ICPs) as well as to strengthen their outreach activities to industry. In addition, they plan to close possible gaps in their legislation regarding the control of civilian and commercial weapons and the regulation of cold weapons. This seminar set the objective to inform the participants from the licensing authority on the above mentioned topics and to provide them with the opportunity to have their respective questions answered in order to facilitate the process of implementing the foreseen changes and updates in the Georgian export control system. In total, 8 licensing officials of the Georgian Ministry of Defence, the licensing authority, attended the event on all three days and engaged in lively discussions.

The online seminar was split into three separate sessions. The first day focused on monitoring and audits of companies and provided insights into the legal basis and good practices from the Czech Republic and Germany. The second workshop session discussed the control of

commercial/civilian weapons in comparison to military weapons and the regulation of other types of weapons. The final workshop day focused on outreach to industry and ICPs, presenting both the perspective of the licensing authority and the industries.

Through the involvement of the heads of departments and the heads of divisions, further progress might be feasible i.e. with respect to updating the legislation and the control list to include still missing from the Georgian licensing system. A second online seminar focussing on these remaining issues will presumably take place in 2021.

The seminar facilitated interactive discussions among participants and EU experts. Translation into Georgian and English was provided.

The activity was supported by six experts in the field of export controls from the Czech Republic, Germany and Sweden, and organised by the implementing entity BAFA (Federal Office for Economic Affairs and Export Control), Germany.

Author: BAFA Outreach Team

National webinar for Philippines

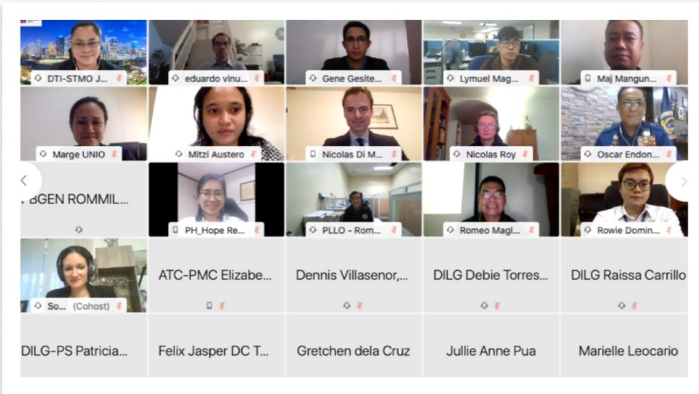
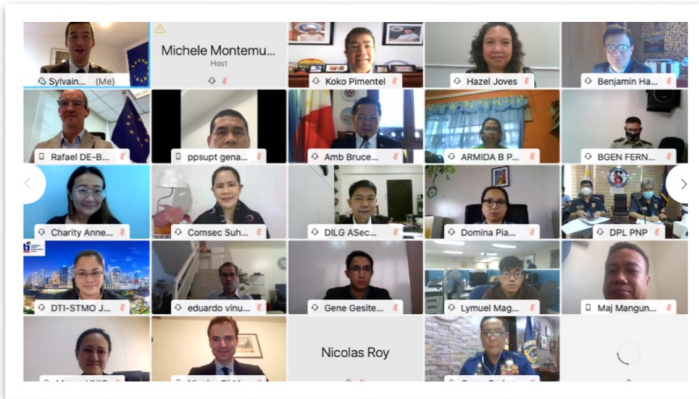
27 November 2020, online

On 27 November 2020, the Philippine partners in the EU P2P Outreach Programme II proposed to organise a national webinar for all Philippines stakeholders of arms transfers controls, supplementary to the activities already implemented in the framework of the programme's roadmap. With this webinar, the Philippines, represented by the Office of the Special Envoy on Transnational Crime – Office of the President (OSETC), intended to disseminate updated information on the



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Participants in the Philippines National Webinar

state-of-progress of its ratification efforts of the ATT and the outcomes of the 6th Conference of the States Parties of the Treaty. The OSETC presented a “Frequently Asked Questions” publication on arms transfers control and the ATT it produced, in cooperation with the EU P2P implementers. The FAQ publication addresses not only the decision makers but also the administrations, the industry and the civil society. Then, experts reported on the outcomes of the 6th Conference of the ATT States Parties and the state-of-progress of the ratification of the ATT by the Philippines. The audience discussed the ways ahead toward the effective ratification of the Treaty with the expected vote of the national Senate in the coming months.

The webinar format was met with success and satisfac-

tion by all stakeholders of this event.

Author: EUP2P ATT - EF Outreach Team

Online Activity for Jamaica

19 November 2020, online

On 19 November of 2020, the first meeting of the Long-term Assistance on the establishment of a control list for Jamaica was conducted online, based on the EU Council Decision 2017/915/CFSP. This Long-term Assistance is part of the EU Arms Trade Treaty Outreach Project II, where Jamaica has been a long-term partner country since 2014.

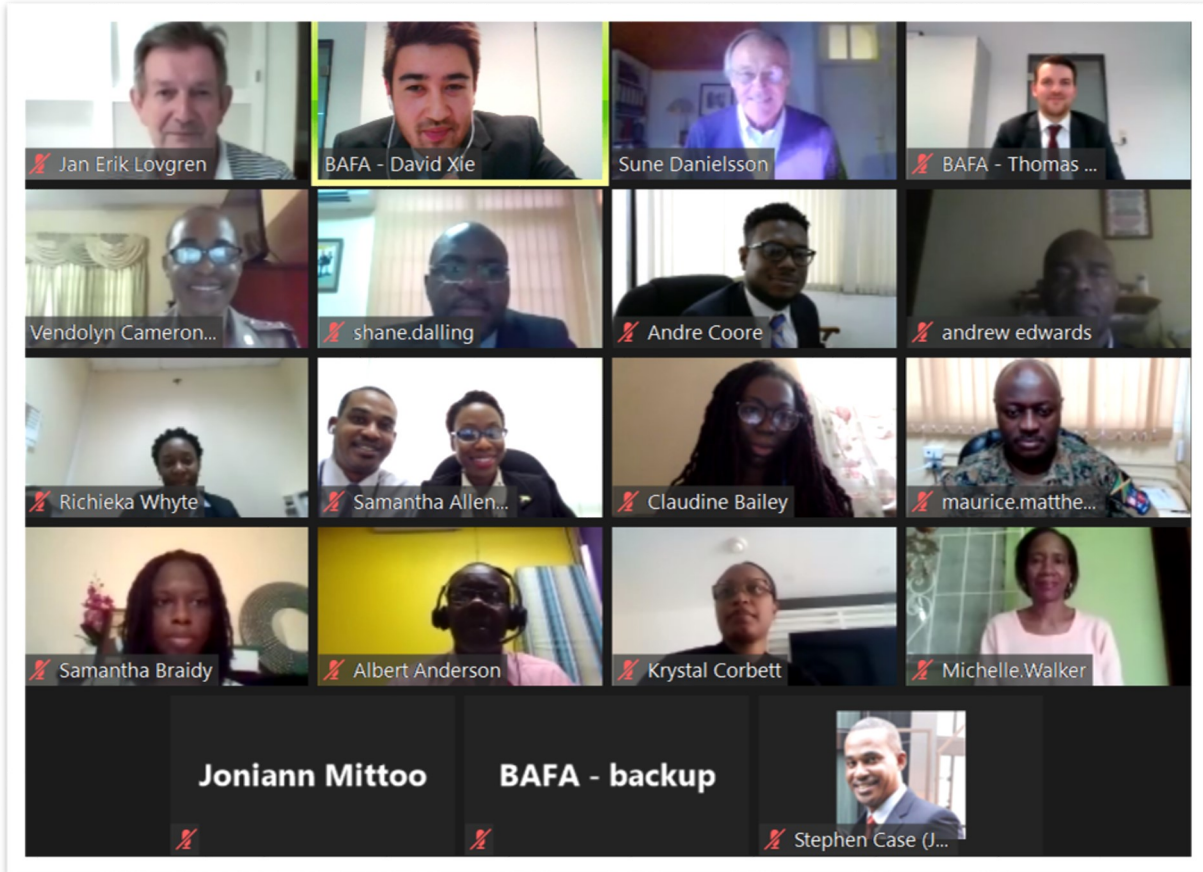
Overall, 14 representatives from different Jamaican authorities participated in the workshop. The workshop kicked off with key statements from Jamaican stakeholders. The current state of play of strategic arms trade control legislation in Jamaica was presented and the goals to be achieved during the Long-term Assistance were highlighted. Subsequently, the experts continued by giving presentations about the historic background of strategic trade control and detailed presentations about the EU Military Goods List and the EU Dual Use List.

The meeting was concluded by a roundtable discussion among all the participants and the experts to define the way ahead for advancing national ATT implementation in Jamaica and the work on the national control list. The Jamaicans seem to be still unsure concerning what is the most suitable option for their future control list; to accept the European model or to do further research on other possible models of control lists. Also the question which agency will eventually act as the main responsible for export controls and for issuing export licenses has still to be answered. It was agreed that the Jamaican focal points would come back to BAFA once they had



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Participants in the Online Activity for Jamaica

reached more clarity on these fundamental topics.

The activity was supported by two experts in the field of export controls from Sweden, and organised by the implementing entity BAFA (Federal Office for Economic Affairs and Export Control), Germany.

Author: BAFA Outreach Team

National webinar for Cameroon

27 November 2020, online

As a complement to the activities planned in the roadmap established between Cameroon and the EU,

an online event has been organized within the framework EU P2P Outreach Programme II, on 27 November 2020. As the country has to put in place transfer management mechanisms that involve all government stakeholders, particularly in the area of transfer authorizations, it has also established a coordination platform for these actors, called the SYNTIA platform. The webinar was designed with the aims of familiarizing Cameroonian government actors with practices of dematerialization of licensing procedures for arms transfers under the ATT and exchanging between national and European experts on the projects and development perspectives of the SYNTIA platform as a mechanism for the



management of arms transfers.

Author: EF Outreach Team

Ad hoc Activity for Chile

3, 10 and 15 December 2020, online

An Online Activity for the ad-hoc partner country Chile was organised on 3, 10 and 15 December 2020 under the EU ATT OP II, based on the EU Council Decision (CFSP) 2017/915.

The activity focused particularly on instruments to prevent, detect and fight diversion and illicit trafficking, from a practical and operational perspective, and thereby addressed one of the underlying motives why the ATT had been created. The agenda topics had been developed in cooperation with different Chilean authorities composing the Coordination Group for the Prevention of Illicit Arms Trafficking. The online workshop, which was split into three sessions of around 2 hours, was attended by a total of up to 243 participants from various Chilean authorities.

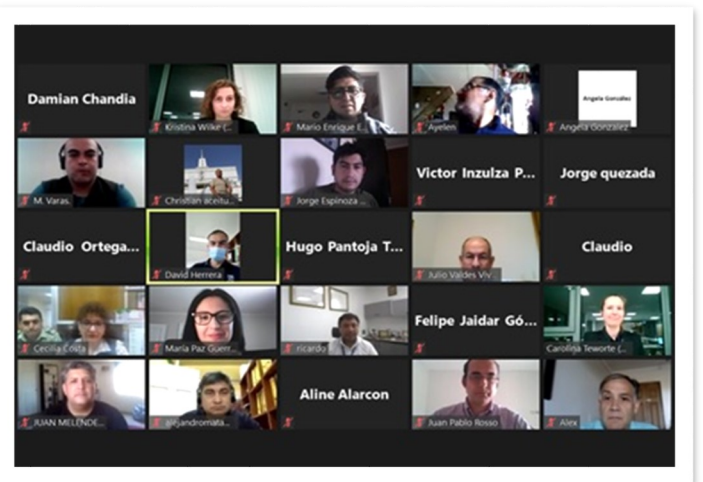
The first day of the online activity kicked off with welcome remarks that followed by an introductory presentation which highlighted the link between the ATT and the prevention, detection and combat of diversion and illicit trafficking and clarified some basic concepts and definitions in this regard. The second presentation addressed the dynamics of diversion and illicit trafficking in Latin America taking into account underlying governmental, societal and cultural factors.

The second day started with an introduction to the different instruments available for the prevention, detection and combat of diversion and illicit trafficking which were once again related to the objectives of the

ATT. Subsequently, two of the participating Chilean authorities presented on their role and experience within the detection of illicit arms transfers. This was followed by a presentation focusing on good practice in marking and recordkeeping within the Spanish Guardia Civil.

Another representative from Guardia Civil was in charge of the first session on the third day dealing with operational interagency exchange with the objective to detect and trace illicit arms trafficking on different national, regional and international platforms. Afterwards, another expert presented on good practices from UK customs, including risk profiling, risk assessment and detection methods. After each presentation there was opportunity for the participants to raise further questions and to add comments and their own experiences. The participation from the Chilean side was not only numerous, but also very active. In this way, the activity certainly contributed to further awareness raising about the importance of controlling arms transfers in accordance with the ATT obligations among the various involved authorities. Moreover, experience with practical instruments to counter diversion and illicit arms trafficking was shared and discussed.

The activity was supported by six experts in the field of



Participants in the Online Activity for Chile



export controls from the United Kingdom, Colombia, Spain, and from Small Arms Survey, and organised by the implementing entity BAFA (Federal Office for Economic Affairs and Export Control), Germany.

Author: BAFA Outreach Team

First Roadmap Activity for Mexico

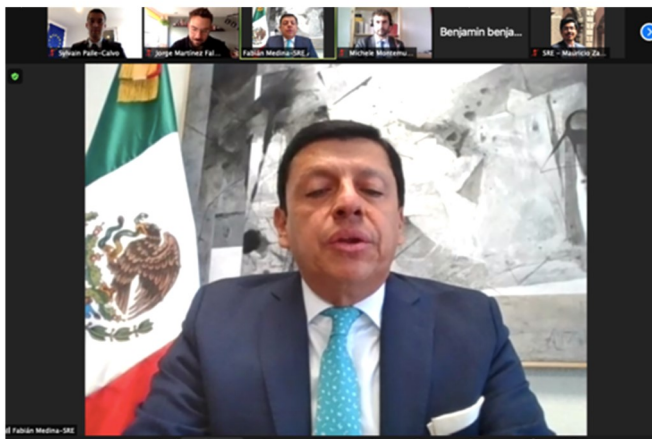
25-26 February 2021, online

In the course of its cooperation with the EU, in the framework of the EU ATT OP II, Mexico expressed its intent to organise an awareness-raising event aimed at levelling the knowledge of the national authorities on the rationale, objectives and implementation of international arms transfer controls as provided for by the ATT since 2014. This first activity under the EU P2P partnership was organized jointly by Expertise France and the Ministry of External Relations of the Republic of Mexico, in the form of a virtual workshop, on 25 and 26 February 2021.

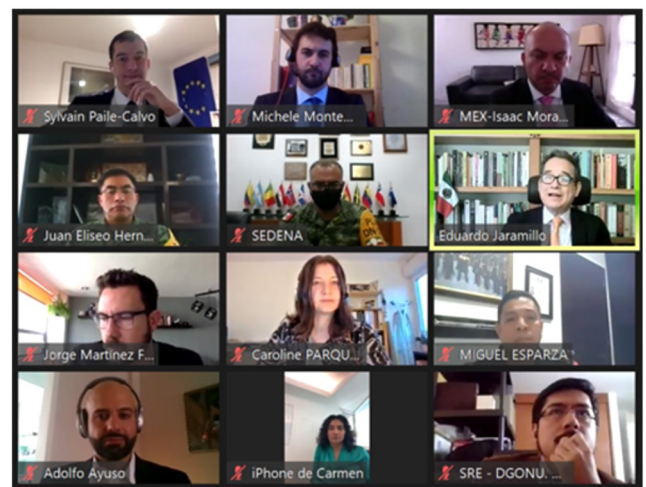
The activity was dedicated to the strategic importance of the ATT as an international instrument for countering the illicit trafficking in arms worldwide and at the Mexican regional level, the practices required in implementing the Treaty and the mechanisms it stipulates for controlling arms trans-border movements. The exchanges of expertise and experiences between Mexican national actors and European experts also allowed for discussing key trends and realities of the country with regard to the challenges posed by the international circulation of conventional arms.

The event was open by Mr. Fabian Medina Hernandez, Head of the Office of the Minister of External Relations, Mr. Jean-Pierre Bou, Deputy Head of the EU Delegation in Mexico and Mr. Benjamin Hautecouverture, Technical Director of the EU ATT OP II at Expertise France.

Along the two days of the activity, the 41 participants were able to exchange concerning the regional and international instruments in place for preventing and mitigating the illicit trafficking in arms, the initiatives undertaken by the States parties to the ATT for enhancing international transparency in trade flows and addressing the risks of diversion of legitimate transfers and, on best practices in implementing arms controls.



Screengrab of the opening speech by Mr. Fabian Medina Hernandez, Head of the Office of the Minister of External Relations

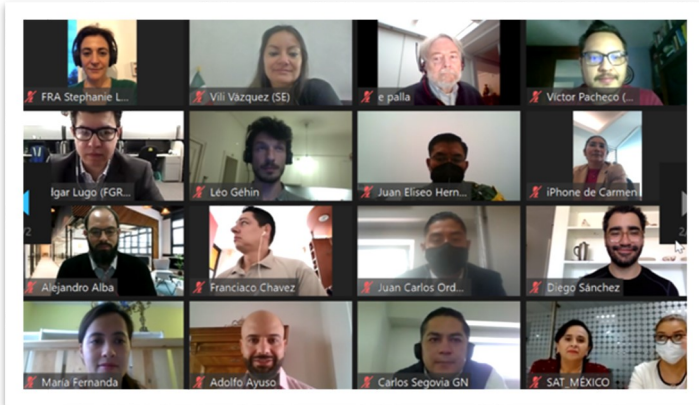


Participants to the 1st Roadmap Activity in Mexico



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The exchanges were concluded by Dr. Eduardo Jaramillo Navarrete, Director General for the United Nations Organisation at the Ministry of External Relations.

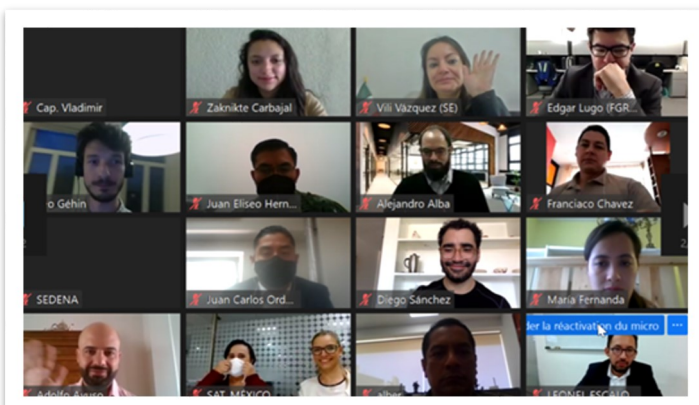
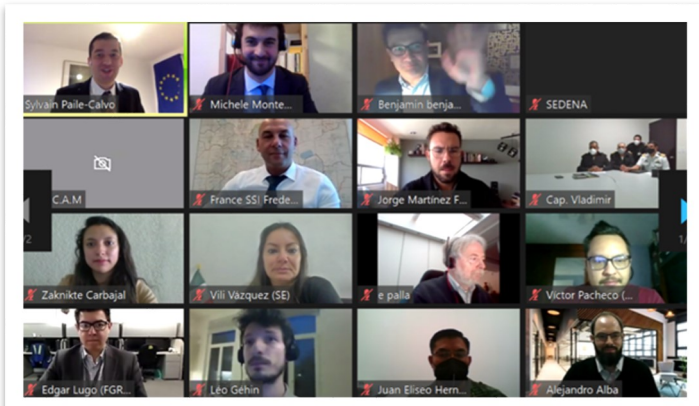
The event met high levels of satisfaction from all stakeholders and strong expectations were formulated by the national actors for continuing the collaboration with the EU, with a view to engaging in actions to strengthen both Mexico's and international security against threats linked to the illicit transfers of arms, ammunition and their parts and components.

Author: EF Outreach Team

Review of final amendments to the Firearms and Ammunition Control Act (FACA) and the law establishing the small arms commission

17 March 2021, online / Monrovia (Liberia)

The Liberian National Commission on Small Arms (LINCSA) organised a one day stakeholders meeting to review the amendments of the draft acts on National Commission (Natcom) and the Firearms and Ammunition Control Act (FACA). The meeting was held in a hybrid mode (onsite participation for Liberian participants with virtual contributions of EUP2P ATT Experts and ECOWAS). The contribution of Expertise France consisted of recruiting two experienced consultants (Amb Sune Danielsson and Ms Elli Kytomaki) to review the draft law and provide written feedback to LINCSA. The two consultants participated in the technical review meeting to explain and highlight their comments. The Chairman of Liberia Natcom in his opening speech thanked the EUP2P ATT Programme for the technical and financial



Participants in the 1st Roadmap Activity in Mexico



support. LINCSEA chairman also thanked the EU for the support given to Liberia to implement the ATT.

ECOWAS representative Dr Sani Adamu chaired the session on the draft act for Natcom, and was able to summarise the main findings and provide feedback on this act. The new National Commission will have a wider scope and mandate which will take account of conventional arms transfers (not only of small arms) and will have a dedicated technical committee to review licensing, imports and exports of firearms and ammunitions. The new commission will be tasked with reporting on the implementation of ATT in Liberia.

In the second day, the focus was on the proposed amendments on FACA and, the EU P2P experts were asked to provide their feedback. As a key finding, they noted that draft act was well done but improvements were made to better clarify the national control list in the text including also human rights related preconditions for any transfer. The text also needs to be aligned with ECOWAS convention key provisions. Recommenda-

tions made will allow LINCSEA to facilitate the national implementation of ATT and Liberia to meet its obligation as State party and to control transfers of conventional weapons.

Of note also is the initiative of Liberia to share experiences with ECOWAS member states particularly Ghana and Sierra Leone who participated in the meeting. The event counted with around 30 participants onsite in Monrovia.

Author: EF Outreach Team

Roadmap Activity 5.1 for Peru

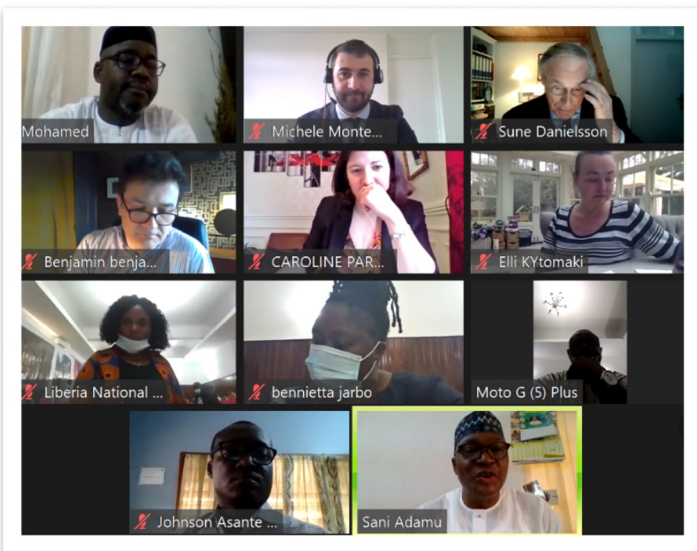
6 - 7 April 2021, online

On 6 and 7 April 2021, BAFA implemented an online workshop as part of the roadmap for the partner country Peru in the framework of the EU ATT Outreach Project (EU ATT OP II). The workshop was conducted via the online platform Zoom.

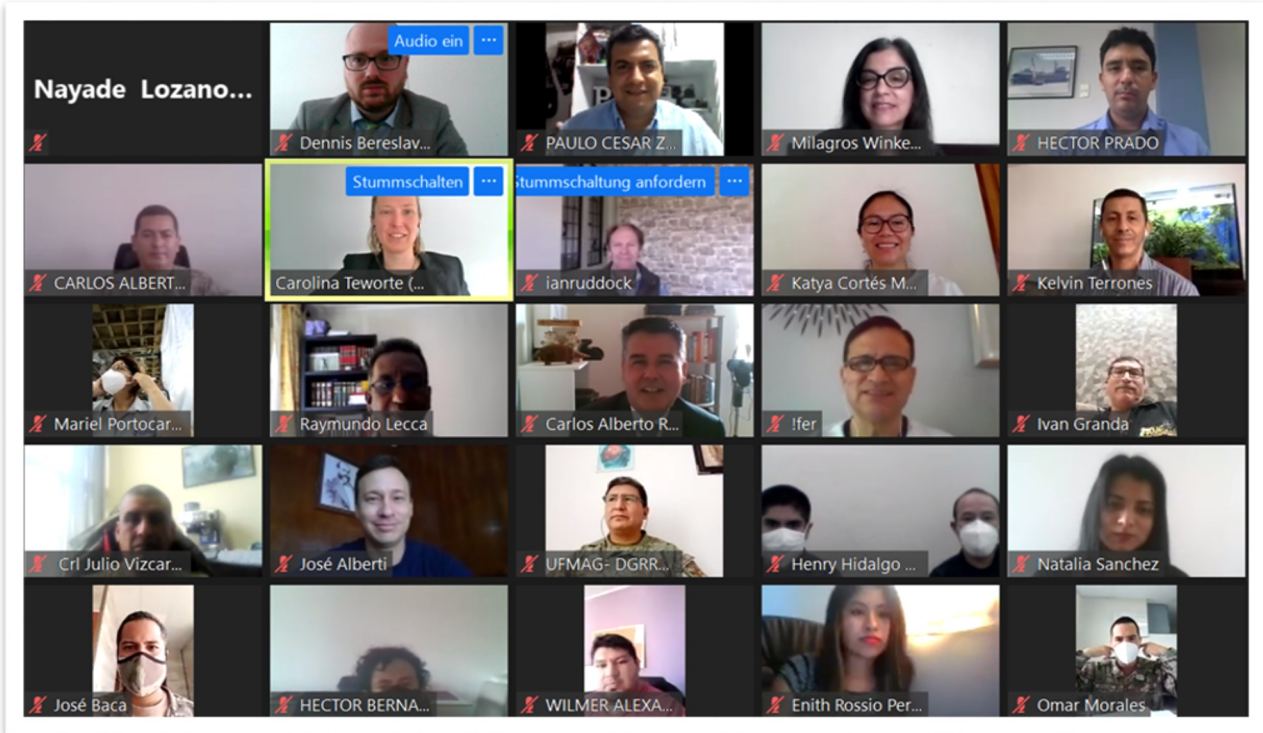
The main objective of this activity was to build capacity among the relevant authorities of Peru for the compilation of the annual report on conventional arms transfers to be sent to the ATT Secretariat. For this aim, the workshop addressed the key obligations of the ATT including reporting, the classification of arms as well as the correct use of the reporting template.

The online workshop counted with a total of 37 participants, representing the Ministry of Foreign Affairs; the Ministry of Defence; the three branches of the Armed Forces (Army, Air Force and Navy); the National Police (PNP); SUCAMEC and SUNAT.

The event kicked off with welcome remarks by Counsellor Carlos Rios Segura (Subdirector of international Security, Control and Disarmament, Ministry of Foreign



Participants to the stakeholders meeting in Monrovia



Participants in the Roadmap Activity 5.1 for Peru

Affairs, Peru) and BAFA. This was followed by a presentation concerning the obligations of Peru as a State Party to the Arms Trade Treaty. In the second part, the expert focused on the obligation to provide an annual report about export and imports of conventional arms with the aim to improve transparency and to contribute to a full mapping of arms transfers among the international community. She underlined the requirements of reporting and explained which data have to be included into the reporting template issued by the ATT Secretariat, which is the model used by Peru.

The second day started with a presentation about the classification of conventional arms according to the eight categories stipulated by Art. 2.1 of the ATT. Afterwards, the Peruvians were invited to join a practical exercise on how to file the ATT report completely and correctly. They were asked to enter six fictitious transfers

and the related data into the template. This exercise was very useful, as it allowed the participants to work with the original reporting template and to think about the best way to provide the required information. Apart from the difficulty of classifying some of these arms, many practical questions arose during the exercise. These related for example, to the currency which should be indicated (USD or soles); the difference between authorized and realized transfers and how to streamline provided information; the required level of detail regarding the end-user and the possibility to provide additional comments in the last column.

The feedback on the practical approach of the workshop was very positive. The activity is expected to ease future reporting as it contributed to the better understanding of reporting requirements and the use of the reporting template.



The online workshop was supported by two international experts from Mexico and the United Kingdom and was organised by BAFA.

Author: BAFA Outreach Team

Remote Assistance Activity for Zambia

May 2020 - April 2021, online

Zambia is currently working on the draft of the National Conventional Arms Control Authority Bill. To support the partner country in this regard and to ensure that the obligations from the ATT are observed, a remote assistance activity was organised for Zambia. An EU expert from Hungary assessed the draft legislation and provided comments on the document, which were jointly discussed with relevant Zambian stakeholders during an online meeting, on 14 January 2021. As a next step, it is planned to work together on the regulations of the bill.

Author: BAFA Outreach Team

Roadmap Activity 5.2 for Georgia

30 March - 1 April 2021, online

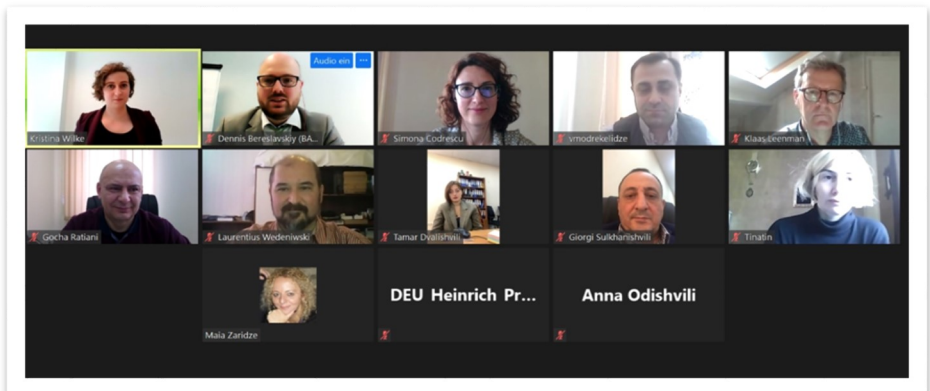
The Fifth Roadmap Activity for Georgia took place from 30 March to 1 April 2021, always in the framework of the EU ATT OP II, based on Council Decision 2017/915/CFSP. The main objective of the meeting was to focus on the topics of general and global licenses, record keeping, investigation and prosecution as well as the use and practical cases of the application of the Common Military

List. In 2020, Georgia has restructured its licensing department in the Ministry of Defence and it is thus in need for training the responsible licensing officers.

Eight participants from the Military-Technical Issues Department, of the Georgian Ministry of Defence attended all three days of the roadmap activity.

The first day of the workshop kicked off with a presentation on general and global licenses followed by an extensive Q&A-session, which was continued on the second workshop day. The second session focused on the use and practical examples of the application of the Common Military List. The second day continued the discussion of general/global licenses before moving to record keeping. Here the obligations both for the licensing authority and companies were discussed. A roundtable discussion provided the opportunity to address other questions and challenges Georgia is facing. The third day then tackled in a thoroughly the topic of investigation and prosecution. Here, the speaker held an introductory presentation on the topic and in the following discussed several case studies jointly with the participants, who also shared some cases of their own.

Throughout all three seminar days, the participants and experts lively engaged in discussions, often revealing the challenges Georgia faces in applying export controls, for which the experts provided further background ma-



Participants to the Roadmap Activity 5.2 for Georgia



EU P2P export control programme for arms-ATT

terials and likewise shared their own national approaches and experiences. BAFA and the Georgian focal point remain in close contact to assess if an additional online activity would be beneficial for the partner country.

The activity was supported by three experts from Germany, the Netherlands and Romania and was organized by the implementing agency BAFA.

Author: BAFA Outreach Team



EU P2P: Conventional Arms Export Control Activities

Online Individual Assistance Workshop for Albania

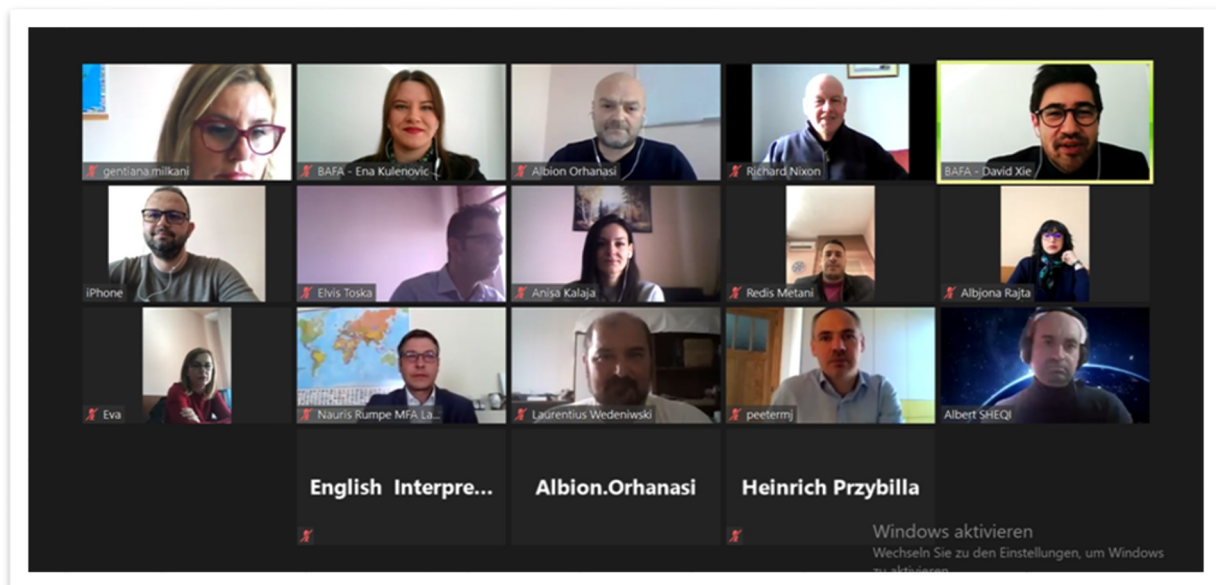
7-8 and 14-15 April 2021

The Individual Assistance Workshop for Albania took place on 07-08 and 14-15 April 2021 in the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V), based on the Council Decision (CFSP) 2020/1464. The event was customised to assist the Albanian State Export Control Authority (AKSHE) in its efforts to improve its practical capabilities as licensing authority. The topics that were covered included updates of the EU Common Military List since 2017, commodity identification, transit controls with a focus on air transit, risk assessment and e-licensing systems.

Ten participants from AKSHE attended the event.

The workshop kicked off with welcome remarks by Ms. Eltiona Metani, Director of the Law and Licensing Division within AKSHE, and BAFA. This was followed by a presentation on the updates of the EU Common Military List since 2017. The second part of the first workshop day was dedicated to the topic of commodity identification, and included an introductory, practice-oriented presentation, as well as two further presentations discussing the Albanian and Dutch experience on this topic and particularly, the importance of inter-agency cooperation. The second day started with a presentation concerning procedures for overflight controls in Albania, which was followed by a presentation including case studies about transit controls with a focus on air transit.

The other two workshop days took place in the following week, and were dedicated to the topics of risk assessment and e-licensing. The third day kicked off with



Participants to the Online Individual Assistance Workshop for Albania



EU P2P export control programme for arms

a presentation by the Albanian side, illustrating the country's risk assessment procedures. This was followed by a presentation about risk assessment in Latvia, which included case studies and a demonstration of the risk database used by Latvia's licensing authority. The fourth day comprised three presentations, of which one each was dedicated to the e-licensing systems currently used in Albania, Belgium and Latvia. The same day the workshop was concluded with closing remarks by Mr. Elton Hodaj, Head of the Registration and License Sector within AKSHE, and BAFA.

After each presentation on all four days, the participants were given the opportunity to engage in Q&A sessions or roundtable discussions, which often led to lively exchange, and helped the Albanian officials to clarify some issues regarding their daily work that were not covered in the presentations.

The activity was supported by five experts from Belgium, Germany, Latvia, the Netherlands and the United Kingdom, and organised by the implementing agency BAFA.

Author: BAFA Outreach Team



EU P2P

EU P2P Export Control website

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Dual-Use Trade Control / Arms Trade Control

"The European Union is a long-standing provider of capacity-building activities aimed at the overall strengthening of export controls for dual-use and arms trade worldwide."

The EU P2P export control programme (formerly "EU Outreach In Export Control programme") is the cornerstone of the EU efforts in this area.

The EU P2P export control programme includes projects in two main areas of export controls:

- [Dual-Use Trade Control](#)
- [Arms Trade Control](#)

This website provides basic information and updates on the EU's Cooperation and capacity building activities under the EU P2P Programme.

Access to the private document repository ([CIRCABC platform](#)) is restricted to approved and registered experts from the EU countries and partner countries, and other international bodies.

EU P2P Organisations

EC - Service for Foreign Policy Instruments (FPI)

Expertise France

Federal Office for Economic Affairs and Export Control

https://europa.eu/cbrn-risk-mitigation/eu-p2p-export-control-programme_en



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Authors

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Editor: Christos Charatsis
Style Editor: Andrea De Luca

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The Programme's objectives are to promote and reinforce international cooperation in the field of dual-use export controls, Arms Trade Treaty implementation

and arms export controls by strengthening national and regional capacity, taking into account the balance between security and economic considerations.

In line with the EU Global Strategy and the EU Strategy against the Proliferation of Weapons of Mass Destruction (WMD), the EU P2P Programme for Dual-Use Goods aims to enhance the effectiveness of export control systems of dual-use items so as to combat the proliferation of WMD and related materials, equipment and technologies.

For more information visit the EU P2P website:

<https://export-control.jrc.ec.europa.eu>

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