

# JRC SCIENTIFIC INFORMATION SYSTEMS AND DATABASES REPORT

# **SOCRATES Manual**

*Software Manual for Social Multi-Criteria Evaluation, Version November 2022* 

Munda G., Azzini I., Cerreta M. and Ostlaender N.

2022



*"I cannot teach anybody anything. I can only make them think."* 

Socrates

SOCRATES

SOcial Multi-CRiteria AssessmenT of European PolicieS

START

Joint Research Centre This publication is a Scientific Information Systems and Databases report by the Joint Research Centre (JRC), the European Commission's science and knowledge service. It aims to provide evidence-based scientific support to the European policymaking process. The contents of this publication do not necessarily reflect the position or opinion of the European Commission. Neither the European Commission nor any person acting on behalf of the Commission is responsible for the use that might be made of this publication. For information on the methodology and quality underlying the data used in this publication for which the source is neither Eurostat nor other Commission services, users should contact the referenced source. The designations employed and the presentation of material on the maps do not imply the expression of any opinion whatsoever on the part of the European Union concerning the legal status of any country, territory, city or area or of its authorities, or concerning the delimitation of its frontiers or boundaries.

#### Contact information

Name: Giuseppe MUNDA Address: European Commission, Joint Research Centre – Via E .Fermi 2749 – I-21027 – Ispra (Va) – ITALY Email: <u>giuseppe.munda@ec.europa.eu</u>

#### EU Science Hub

https://joint-research-centre.ec.europa.eu

JRC131755

EUR 31327 EN

PDF ISBN 978-92-76-59331-7 ISSN 1831-9424 doi:10.2760/015604 KJ-NA-31-327-EN-N

Luxembourg: Publications Office of the European Union, 2022

© European Union 2022



The reuse policy of the European Commission documents is implemented by the Commission Decision 2011/833/EU of 12 December 2011 on the reuse of Commission documents (OJ L 330, 14.12.2011, p. 39). Unless otherwise noted, the reuse of this document is authorised under the Creative Commons Attribution 4.0 International (CC BY 4.0) licence (<u>https://creativecommons.org/licenses/by/4.0/</u>). This means that reuse is allowed provided appropriate credit is given and any changes are indicated.

For any use or reproduction of photos or other material that is not owned by the European Union, permission must be sought directly from the copyright holders. The European Union does not own the copyright in relation to the following elements: page 9, icons used on the page element are coming from the Noun Project (https://thenounproject.com/)

How to cite this report: Munda, G., Azzini, I., Cerreta, M. and Ostlaender, N., *SOCRATES Manual*, Publications Office of the European Union, Luxembourg, 2022, doi:10.2760/015604, JRC131755.

# Contents

Ab	stract		1		
Ac	knowledge	ments	2		
1	Introductio	3			
2	What is SC	OCRATES?	4		
3	How to sta	rt and manage a project	6		
4	SOCRATES	S workflow	9		
	4.1 Multi-	Criteria Analysis	11		
	4.2 Equit	/ analysis	21		
	4.3 Local	Sensitivity analysis	25		
	4.4 Globa	l Sensitivity analysis			
5	Advanced	settings			
	5.1 The q	uantitative variables of the Multi-Criteria Analysis			
	5.1.1	Quantitative score type: Numeric			
	5.1.2	Quantitative score type: Fuzzy			
	5.1.3	Quantitative score type: Stochastic			
	5.1.4	Quantitative score type: Numeric and fuzzy			
	5.2 Borda	loser and frequency matrix			
	5.3 The S	obol value	41		
Re	ferences		42		
Lis	st of abbrev	iations and definitions	43		
Lis	st of boxes				
Lis	_ist of figures				
Lis	st of screer	shots			
Ap	pendix				

# Abstract

SOCRATES (**SO**cial multi-**CR**iteria **A**ssessmen**T** of **E**uropean policie**S**) is a software tool explicitly designed for impact assessment problems. Three main components constitute the core of SOCRATES: multi-criteria, equity and sensitivity analyses. The impact matrix may include quantitative (including also stochastic and/or fuzzy uncertainty) and qualitative (ordinal and/or linguistic) measurements of the performance of an alternative with respect to an evaluation criterion. It supplies a ranking of the alternatives according to the set of evaluation criteria by using a non-compensatory mathematical aggregation rule. Equity analysis requires as input a set of social actors and the impact of the alternatives on these social actors. The objective of sensitivity analysis is to check if the ranking provided is stable and to determine which of the input parameters influence more the model output. The entire information produced by local and global sensitivity analyses is synthesised into simple graphics.

# Acknowledgements

Contributions and comments by Richard Baron Von Maydell, Kalliopi Christoforidou, Chiara Mazzarella, Giuliano Poli and Stefania Regalbuto are gratefully acknowledged.

#### Authors

Giuseppe Munda, Ivano Azzini, Maria Cerreta and Nicole Ostlaender

# 1 Introduction - Why SOCRATES?

SOCRATES (**SO**cial multi **CR**iteria **A**ssessmen**T** of **E**uropean policie**S**) is a multiple criteria software tool explicitly designed for *ex-ante* Impact Assessment (IA) problems. Since IA is multidimensional in nature, Multi-Criteria Decision Analysis (MCDA) and in particular Social Multi-Criteria Evaluation (SMCE), which has been explicitly designed for public policy, can be a very useful methodological and operational framework. A Social Multi-Criteria Evaluation framework is useful for integrating a plurality of technical aspects and social views into an ex-ante impact assessment in a coherent and transparent manner.

SMCE allows taking into account a wide range of assessment criteria; for example, the impact on Small and medium-sized enterprises (SMEs), the degree of protection of fundamental rights, consumer protection, etc. All the multidimensional profiles of the problem are shown in their original scales of measurement; the *impact matrix* presents in a structured way the information on the various criterion scores, i.e. each element of the matrix represents the performance of each option according to each evaluation criterion.

The implementation of a Social Multi-Criteria framework involves the following main steps:

- 1. Selection of the social actors relevant for the problem at hand.
- 2. Definition of social actors' values, desires and preferences.
- 3. Generation of evaluation criteria as a process of technical translation of social actors' needs, preferences and desires.
- 4. Construction of the multi-criteria impact matrix.
- 5. Construction of an equity impact matrix, illuminating all the distributional consequences of each single option in terms of stakeholder types.
- 6. Application of a mathematical procedure.
- 7. Sensitivity and robustness analysis.

The application of SMCE is not particularly time consuming, since it formalises in a consistent and efficient way a process that often is already done in the current practice of IA (almost all IA studies present the results in the form of an impact matrix). Moreover, the support of a software tool makes all required computations very quick.

The objective of SOCRATES is NOT substitution of policy-makers through a mathematical model, on the contrary, the objective is to improve their understanding of the main features of the problem at hand, such as key assumptions, degree of uncertainty, robustness of results and overall technical and social defensibility of options chosen. The philosopher Socrates said "*I cannot teach anybody anything. I can only make them think.*" This is the main inspiring principle of the SOCRATES software too.

In summary, why SMCE and why SOCRATES in IA studies?

- SMCE is a well-established methodology for IAs. It provides structured steps to build the impact matrix and rank all the feasible policy options.
- By using SOCRATES, it is possible to add consistency between the problem structuring and the selection of a desirable option, thus improving transparency too.
- By using SOCRATES, it is possible to assure repeatability of the calculation; which adds to the overall goal of transparency.

# 2 What is SOCRATES?

Social Multi-Criteria Evaluation proceeds on the basis of the following main concepts: dimensions, objectives, criteria, weights, criterion scores, impact matrix and compromise solution.

**Dimension** is the highest hierarchical level of analysis and indicates the scope of objectives, criteria and criterion scores. In IA studies, the general categories of economic, social and environmental impacts are dimensions.

**Objectives** indicate the direction of change desired, e.g. growth has to be maximized, social exclusion has to be minimized, carbon dioxide emissions have to be reduced.

A Criterion is a function that associates alternative actions with a variable indicating its desirability.

**Weights** are often used to represent the relative importance attached to dimensions, objectives and criteria. The idea behind this practice is very intuitive and easy, that is, to place the greatest number in the position corresponding to the most important factor.

A **Criterion score** is an assessment of the impact consistent with a given criterion with reference to a policy option. Criterion scores can be qualitative and/or quantitative.

The **Impact Matrix** presents, in a structured way, the information on the various criterion scores, i.e. each element of the matrix represents the performance of each option according to each criterion. In general, in a multi-criterion problem, there is no solution (ideal or utopian solution) optimising all the criteria at the same time, and therefore **"compromise solutions"** have to be found.

Three main components constitute the core of SOCRATES: multi-criteria, equity and sensitivity analyses. **Multi-Criteria analysis** requires the definition of relevant dimensions, objectives and criteria. It uses weights as importance coefficients and clarify their role in the hierarchical structure. The impact matrix may include quantitative (including also stochastic and/or fuzzy uncertainty) and qualitative (ordinal and/or linguistic) measurements of the performance of an alternative with respect to an evaluation criterion. It supplies a ranking of the alternatives according to the set of evaluation criteria (i.e. the technical compromise solution/s).

**Equity analysis** requires as input a set of social actors and the impact of the alternatives considered in the multi-criteria analysis on these social actors. The equity analysis produces the following information:

- indications of the distance of the positions of the various social actors (i.e. possibilities of convergence of interests or coalition formations);
- ranking of the alternatives according to actors' impacts or preferences (social compromise solution).

The objective of **sensitivity analysis** is to check if the rankings provided are stable and to determine which of the input parameters influence more the model output. The whole information produced by local and global sensitivity analyses is synthesised into simple graphics.

SMCE is a methodology widely used in many geographical contexts around the world. Many peer reviewed publications on SMCE exist both on the methodological and empirical aspects. SOCRATES is based on research developed at JRC, fully published in top international scientific journals. All methodological and mathematical details of SOCRATES can be found in the list of publications at the end of this manual (Azzini and Munda, 2020; Munda, 2004; Munda, 2008; Munda and Nardo, 2009; Munda, 2012; Munda, 2022; Saltelli *et al.*, 2010).

In summary, SOCRATES presents the following main characteristics:

- it can deal with mixed information in a mathematically correct way (thanks to an appropriate semantic distance);
- appropriate mathematical rules guarantee that weights have always the meaning of importance coefficients;
- it can deal with both a technical impact matrix and a social impact matrix;

- it is based on the Kemeny median rule, which is considered one of the best possible noncompensatory aggregation rules from both social choice and multi-criteria literature;
- the computational problem linked to the use of the median rule has been tackled by a new numerical algorithm currently considered the benchmark in the specialized scientific literature;
- the robustness of results is tested by using both local and global sensitivity analyses.

Box 1: Copyright warning, legal notices and disclaimer

#### Copyright warnings

The icons in this guide derive both from SOCRATES software and open source site https://thenounproject.com/.

#### Legal notice and disclaimer

#### Intellectual property rights

The name, the copyright and the intellectual and industrial property rights related to the Software are the exclusive property of the European Union and its licensors as the case may be.

#### Disclaimer of Warranty

The Software is a work in progress, which may be continuously improved by numerous contributors. It is not a finished work and may therefore contain defects or 'bugs' inherent to this type of development.

For the above reason, the Software is provided on an 'as is' basis and without warranties of any kind, including, without limitation, for merchantability, fitness for a particular purpose, absence of defects or errors, accuracy and non-infringement of intellectual property rights.

This disclaimer of warranty is a condition for the grant of any rights to the Software.

#### Disclaimer of Liability

Except in the cases of wilful misconduct or damages directly caused to natural persons, the European Union and the European Commission will in no event be liable for any direct or indirect, material or moral, damages of any kind, arising out of the use of the Software, including without limitation, damages for loss of goodwill, work stoppage, computer failure or malfunction, loss of data or any commercial damage, even if the European Union or the European Commission has been notified of the possibility of such damage.

This disclaimer is not intended to limit the liability of the European Union or the European Commission in contravention of any requirements laid down in applicable national law nor to exclude its liability for matters which may not be excluded under that law.

# 3 How to start and manage a project

The SOCRATES software is an on line application.

It can be found under <a href="https://web.jrc.ec.europa.eu/socrates/">https://web.jrc.ec.europa.eu/socrates/</a> ; when clicking on this link, you can see the following Graphical User Interface (GUI):

European SOCRATES	
# start	0
"I cannot teach anybody anything. I can only make them think." Torrise	
Legal notice and disclaimer	~
Intellectual property rights	
The name, the copyright and the intellectual and industrial property rights related to the Software are the exclusive property of the European Union and its licensors as the case may be.	
Disclaimer of Warranty	
The Software is a work in progress, which may be continuously improved by numerous contributors. It is not a finished work and may therefore contain defects or Dway inherent to this type of development. For the above reason, the Software is provided on an 'as is' basis and without warranties of any kind, including, without limitation, for merchantability, fitness for a particular purpose, absence of defects or errors, accuracy and non-infringement of intellectual property rights. This disclament of warranty's a condition for the grant of any rights to the Software.	
Disclaimer of Liability	
Except in the cases of wild misconduct of damages directly caused to natural persons, the European Union and the European Commission will in one vent be liable for any direct or indirect, material or monal, damages of any kind, arising out of the software, including without limitat damages for the opposition has been ondirect of damages and the software including without limitat damages for the software including without and any experimental damages are for solar damages of any kind arising out of the software, including without limitat damages for solar damages of any kind arising out damage. This disclaimer is not intended to limit the liability of the European Commission in contravention of any requirements laid down in applicable national law nor to exclude its liability for matters which may not be excluded under that law.	ion,

The blue bar at the top of the GUI contains the following buttons:



## Home

It takes you to the home screen



#### Start

Click on one of them to start a new project (only if you have not already opened one).



## Info

It provides you with information About SOCRATES and a Contact

After clicking on Start, in the upper right corner of the GUI, the blue bar at the top of the GUI contains the following buttons:



#### Home

It takes you to the home screen



#### Start

It allows you starting a new project (only if you have not already opened one).



# Info

It provides you with information About SOCRATES and a Contact

In the upper right corner of the GUI, the bar shows the possibilities to save, edit, or load a datafile.



The button Manage opens a drop-down menu to work with the project file, where you can:

0	New project
•	Create a new project from scratch
	Import / Restore
	Load an existing project or restore a backup
F	Duplicate
	Create a copy of your project
	Rename
	Change the name of your project
	Export
	Export your project in json format
	Backup projects
	Download a backup for all your projects in json format
m	Delete
ш	Delete all data in your project
ne button Sa	<b>ave</b> opens a drop-down menu to:



The

# Save on this browser

The project is saved in your browser IndexedDB



#### Save and export

The project is saved in your browser IndexedDB and you must Download export file from the link that appears under the **Save** button

For saving the datafile, it can be selected a local or remote saving procedure. If the saving was successful, a push-up notification comes up in the lower right-hand corner.



You can also select the **AUTOSAVE** option activating the button under the Save button on the right corner of the GUI.



The project will be saved in the browser IndexedDB, and will remain available as long as you don't change PC and browser or don't clear the cache. For maximum security, we suggest exporting your work when you leave.

# 4 SOCRATES workflow

This chapter explains the operations of the software by guiding you through the **tasks** and **steps** of the process. Typical components of a multi-criteria decision process are implemented.

The following example helps you to understand which information SOCRATES needs to support your decisions.



A set of eight objectives linked to three dimensions (Economy, Society, Environment) was established to support the final decision. Each objective relates to one or more criteria representing the function that associates alternatives with a qualitative or quantitative variable, indicating its desirability (Please see section 4.1 for more information).

The user can implement the decision problem into SOCRATES software following four primary tasks: Multi-Criteria Analysis, Equity Analysis, Local Sensitivity Analysis, and Global Sensitivity Analysis. Each task contains progressive steps to be completed to go through the evaluation (Figure 1). SOCRATES tasks and steps are both operational (data input) and informative (data reading and interpretation).

MULTI-CRITERIA ANALYSIS	EQUITY ANALYSIS	LOCAL SENSITIVITY ANALYSIS	GLOBAL SENSITIVITY ANALYSIS
1 Alternatives	1 Social actors & weights	1 Dimensions	Summary
Dimensions, objectives, and criteria	2 Social impacts	2 Criteria	
3 Impact matrix	3 Dendrogram	3 Dimension - Criteria summary	
4 Pairwise comparison		4 Dimension weights summary	
5 Outranking		5 Criteria weights summary	
6 Ranking		Group weights summary	

Figure 1 - SOCRATES workflow.

The software provides an interface that was designed according to the most modern concepts of man/machine interface (Screenshot 1), through advanced development of the typical elements that make up modern GUIs.

European Commission   SOCRATES	
🐔 START	0
"I cannot teach anybody anything. I can only make them think." <sub>Scontes</sub>	SOCRATES SOcial Multi-CRiteria AssessmenT of European PolicieS
Legal notice and disclaimer	~
Intellectual property rights The name, the copyright and the intellectual and industrial property rights related to the Software are the	exclusive property of the European Union and its licensors as the case may be.
Disclaimer of Warranty	
The Software is a work in progress, which may be continuously improved by numerous contributors. It is not a finished work and may therefore contain defects or 'uogo' inherent to this type of development For the above reason, the Software is provided on an 'as is' basis and without warranties of any kind, inc intellectual property rights. This disclaimer of warranty is a condition for the grant of any rights to the Software.	uding, without limitation, for merchantability, fitness for a particular purpose, absence of defects or errors, accuracy and non-infringement of
Disclaimer of Liability	
Except in the cases of wilful misconduct or damages directly caused to natural persons, the European U use of the Software, including without limitation, damages for loss of goodwill, work stoppage, compute the possibility of such damage. This disclaimer is not intended to limit the liability of the European Union or the European Commission in that law.	nion and the European Commission will in no event be liable for any direct or indirect, material or moral, damages of any kind, arising out of the f allure or malfunction, loss of data or any commercial damage, even if the European Union or the European Commission has been notified of n contravention of any requirements laid down in applicable national law nor to exclude its liability for matters which may not be excluded under

Screenshot 1 - SOCRATES' Graphical User Interface.

The application and its ways of use are presented in the remainder of this document. Basically, a very simple procedure is provided for the method, which is permanently visible in the upper half of each page of the tool.

# 4.1 Multi-Criteria Analysis

**Multi-Criteria analysis** requires the definition of relevant dimensions, objectives and criteria. It uses weights as importance coefficients and clarifies their role in the hierarchical structure. The impact matrix may include quantitative (including stochastic and/or fuzzy uncertainty) and qualitative (ordinal and/or linguistic) measurements of the performance of an alternative with respect to an evaluation criterion. It supplies a ranking of the alternatives according to the set of evaluation criteria (i.e. the technical compromise solution/s), computed by using a non-compensatory aggregation rule.

The multi-criteria analysis, which is performed on the impact matrix, is based on a comparison algorithm of the alternatives. This task presents six steps of data input, visualisation and interpretation of results (Figure 2).

MULTI-CRITERIA ANALYSIS	EQUITY ANALYSIS	LOCAL SENSITIVITY ANALYSIS	GLOBAL SENSITIVITY ANALYSIS
1 Alternatives	1 Social actors & weights	1 Dimensions	Summary
Dimensions, objectives, and criteria	2 Social impacts	2 Criteria	
3 Impact matrix	3 Dendrogram	3 Dimension - Criteria summary	
4 Pairwise comparison		4 Dimension weights summary	
5 Outranking		5 Criteria weights summary	
6 Ranking		Group weights summary	



MULTI-CRITERIA ANALYSIS						$\rangle$	$\rangle$
Alternatives	Dimensions, objectives, and criteria	Impact matrix	Pairwise comparison	Outranking	Ranking		
1	2		4	5			

# What can you do in Step 1?

I can create and manage the **Alternatives**. To do it, I can:



## Add Alternatives

This button allows you to create a new alternative



## Manage Alternatives

This button allows you to edit the name of the alternative and add a brief description



## Delete Alternatives

This button allows you to delete an alternative

The Alternatives are the different possibilities from which a choice must be made. In the case of the Condominium problem, the Alternatives are: (1) Garden, (2) Baby Playground, (3) Parking Area, (4) Paved Area, and (5) Vegetable Garden and Orchard (Screenshot 2).



Screenshot 2 - Multi-Criteria Analysis. Step1: input of alternatives.

MULTI-CRITERIA ANALYSIS					$\rangle$	$\rangle$	
Alternatives	Dimensions, objectives, and criteria	Impact matrix	Pairwise comparison	Outranking	Ranking		
_1_	2	3	4	5			

# What can you do in Step 2?

I can create and manage the hierarchical structure of the decision problem by setting the **Dimensions**, **Objectives**, and **Criteria**. To do it, I can:

#### Add Dimension

This button allows you to create new Dimensions



#### Add Dimension

This button allows you to create new Dimensions using the pie chart graph

( ala 1	

#### Add Objective/Add Criterion

This button allows you creating new items in the lower category in terms of Objectives (if you click on Add objective ) and Criteria (if you click on Add criterion)

	<b>Manage</b> This button allows you to change the name of the category and add a brief description.
	<b>Weight</b> You can set the Weight of an item (referred to its Global Weights) using this scrollbar.
≡	<b>Move</b> This button allows you changing the order of items
Û	<b>Delete</b> This button allows you to delete an item (dimension, objective, criterion)
Imensions	<b>Dimensions</b> This button allows you to assign the same weight to all the Dimensions
Criteria	<b>Criteria</b> This button allows you assigning the same weight to all the Criteria
	<b>Zoom In</b> Clicking on the area related to a specific category within the pie chart you can visualise the specific branch of decision-problem and the hierarchical levels subordinated to it
3 Back	Zoom Out

Clicking on Back you return to the pie chart original view

Once Alternatives have been defined in Step 1, SOCRATES requires the definition of Dimensions (e.g. economic, social, and environmental), Objectives, and Criteria. In this Step, you can also set the Weight of each category and sub-category, using the scroll bar. You can decide to attribute the same or different importance level.

The hierarchical structure of the decision-making problem is represented by a circular graph in which the higher category, corresponding to Dimension, which should be entered first, is the closest to the centre of the circle, then the Objectives and then the Criteria. All changes made to the structure of the model in the table are also applied to the pie chart on the left side and vice versa.



Screenshot 3 - Multi-Criteria Analysis. Step 2: categories pie chart.

Figure 3 shows the hierarchical structure of the "Condominium problem" example which is divided into three Dimensions, seven Objectives, and twelve Criteria.

DIMENSIONS	OBJECTIVES	CRITERIA	DIR.	EVAL. SCALE
• Economy	<ul> <li>Minimise co-owners ordinary spending</li> <li>Maximise apartments' real estate values</li> </ul>	<ul> <li>Ordinary costs</li> <li>Extra-ordinary costs</li> <li>Mean apartments income</li> </ul>		€ € €/sqm
Society	<ul> <li>Valorising housing common areas</li> <li>Reducing disagreements among neighbours</li> <li>Taking care of common spaces</li> </ul>	<ul> <li>Recreation spaces</li> <li>Shared facilities</li> <li>Legal disputes</li> <li>Willingness to take care of common spaces</li> </ul>	<b>ヘイ シ イ</b>	Good/Bad num. ++++/ +++/
<ul> <li>Environment</li> </ul>	<ul> <li>Improving environment comfort</li> <li>Optimising waste management</li> </ul>	<ul> <li>Healthy air</li> <li>Noise level</li> <li>Climate mitigation</li> <li>Community composting</li> <li>Recycling capacity</li> </ul>	<b>ヘッヘ ヘ</b>	Good/Bad decibel Good/Bad +++/ Good/Bad

Figure 3 - Dimensions, Objectives, and Criteria of the "Condominium problem".

Dimensions, Objectives and Criteria Weights are displayed graphically as shown in Screenshot 4-A, where it is possible to see an example of equally weighted Dimensions.



Screenshot 4 - Multi-Criteria Analysis. Step 2: zooming into categories pie chart.

To have a closer look at certain sections of the pie chart, it is possible to **Zoom In**. If you click on a specific category, all levels below it are displayed (Screenshots 4-B and 4-C). **Zoom Out** can be done by clicking on the item you have previously zoomed into. To return to the pie chart's original view, please click on **Back**.

MULTI-CRITERIA ANALYSIS							$\rangle$	
Alternatives	Dimensions, objectives, and criteria	Impact matrix	Pairwise comparison	Outranking	Ranking			
_1_	2		4	5				

# What can you do in Step 3?

I can fully configure the Impact matrix. To do it, I can:

✦ Add alternative	Add Alternatives (in addition to those created in the Step 1)
<b>B</b>	<b>Manage</b> Alternatives This button allows you changing the name of Alternatives and add a brief description
Û	<b>Delete</b> This button allows you to delete Alternatives
Ø	Manage Criteria This button allows you to enter in a drop down menu where you can: Set the <i>Score Type</i> (Quantitative, Qualitative), Access to the <i>Advanced options</i> (please see Section 5.1 for more details) Select <i>Goal Type/Scale</i>
	Thresholds

This button allows you to set the preference and indifference thresholds of Criteria



Import

This button allows you importing the Impact Matrix as a csv file

The **Impact Matrix** presents, in a structured way, the information on the various Criterion Scores, i.e. each element of the matrix represents the performance of each Alternative according to each Criterion.

The Impact (Criteria/Alternatives) Matrix is presented, where the columns contain Alternatives, which were defined on the previous step, and the rows contain Criteria (Screenshot 6). Firstly, you have to input the value associated with each Criterion according to each Alternative. Qualitative or quantitative values can be selected.

In the case of a **Quantitative** variable, you must specify the *Measurement unit* and choose the direction of each Criterion concerning the overall objective (to Minimize or Maximize) in the *Goal Type* Section. The *Goal Type* of a Criterion clarifies whether a higher value of a Criterion corresponds to better (Maximization) or worse (Minimization) performance of the regarded alternative. In the case of a **Qualitative** linguistic variable, you must select a *Scale* choosing "Good/Bad" or "++/-". Clicking on the Manage button, you can also set **Advanced Options** (for further information you can refer to Section 5).

Additionally, only in the case of Quantitative variables, you can set the preference and indifference **Thresholds**. This button only works after inserting the scores in the impact matrix. More specifically, you can modify the preference and indifference relations by directly assigning it a numerical value or graphically by moving the scrollbars. The blue lines and areas represent the indifference and preference functions and illustrate the corresponding threshold at a 0.5 line. The dark blue illustrates the preference function while light blue represents the indifference function (see Screenshot 5). The preference value must be higher than the value for indifference. The x-axis shows the difference between two alternatives, whereas the y-axis shows the credibility of the preference/indifference relation. In case of Qualitative Criteria, you do not need to set thresholds.



Screenshot 5 - Preference and Indifference Thresholds.

The impact matrix presents the values of the criteria in relation to the alternatives (Screenshot 6). In the case of the "Condominium problem", Criteria are both quantitative and qualitative, with different unit of measurement and impact direction, as shown in Figure 3.

-	Garden 🥒 🛱	Baby Playground 🥜 📋	Parking Area 🥜 📋	Paved Area 🥜 📋	Vegetable Garden and Orchard
Ordinary costs (€/year) 🖋 🕸	4000	6000	300	500	5000
Extra-ordinary costs 🥒 🏨	800	500	100	150	2000
Mean apartments income (€/mq)	1600	1550	1520	1500	1700
Recreation spaces 🧳	Good ÷	Good ÷	Fairly Bad 🗢	Fairly Bad \$	Very Good \$
Shared facilities (Number) 🖋 🚳	1	2	1	0	5
Legal disputes 🥜	- •	- •	** \$	= ÷	••
Willingness to take care of common spaces		++ e	~ <b>t</b>	6	•••• •
Healthy air 🥒	Very Good 🔹	Neutral ¢	Very Bad 🗢	Very Bad •	Very Good 🔹
Noise level (decibel) 🖋 🚳	20	100	70	30	40
Climate mitigation 🥒	Very Good 🗢	Fairly Bad 🗢	Very Bad 🗢	Bad ¢	Very Good 🗢
Community composting I	ч <u>н</u>	- •	- +	- •	* ÷
Recycling capacity 🧳	Good ÷	Neutral +	Bad 🗢	Bad 🗢	Very Good 🗢

Screenshot 6 - Multi-Criteria Analysis. Step3: Impact Matrix.

MULTI-CRITERIA ANALYSIS							$\rangle$	$\rangle$
Alternatives	Dimensions, objectives, and criteria	Impact matrix	Pairwise comparison	Outranking	Ranking			
_1	2			5				

# What can you do in Step 4?

I can read the results of the **Pairwise comparison**. To do it, I can:



**Visualise** the pairwise comparison of alternatives according to each criterion.



Move the cursor onto bars to display indifference and preference thresholds.

After filling in values into the impact matrix, the pairwise comparison step serves as a tool to illustrate which alternatives are preferred when using certain preference and indifference thresholds on each single criterion. The dark blue illustrates the preference threshold while light blue represents the indifference threshold. The larger the dark blue bar, the higher the difference between two alternatives. The larger the light blue bar, the higher the credibility of an indifference relation.



**Screenshot 7** - Multi-Criteria Analysis. Step 4: Pairwise comparison. Bar charts showing pairwise comparisons among alternatives according to *Ordinary Costs* and Extra-*ordinary Costs*. Visualization of preference and indifference relations.

According to the criterion "Ordinary costs", there is a clear preference of alternative "Garden" to alternative "Baby Playground", since the value filled in the impact matrix for "Garden" (4000  $\notin$ /year) is lower than the corresponding value for "Baby Playground" (6000  $\notin$ /year). No level of indifference can be seen for these alternatives. On the contrary, a certain degree of indifference can be observed due to the predefined indifference relation and the small differences in ordinary costs between "Parking Area" and "Paved Area". It can be also seen that alternative "Parking Area" (ordinary costs = 300  $\notin$ /year) is a bit better than the other alternative (500  $\notin$ /year).



Screenshot 8 - Multi-Criteria Analysis. Step 4: Pairwise comparison. The bar charts show the pairwise comparisons among alternatives according to *Mean apartments income*, *Recreation spaces* and *Shared facilities*. Visualization of preference and indifference relations.

When looking at the Recreation spaces criterion, it becomes clear due to the linguistic qualitative scores, that "Vegetable Garden and Orchard" (Very Good) is favored compared to "Parking Area" (Fairly Bad).



Screenshot 9 - Multi-Criteria Analysis. Step 4: Pairwise comparison. The bar charts show pairwise comparisons among alternatives according to *Healthy air, Noise level* and *Climate mitigation*. Visualization of preference and indifference relations.

After the computation of the indifference and preference relations, and by considering the criterion weights, an outranking matrix can be obtained.



# What can you do in Step 5?

I obtained the results of the **Outranking** matrix. I can:



Visualise the outranking matrix

After defining measures on the performance of given alternatives, weights (importance) attached to each criterion of interest and the definition of the direction concerning the criterion's objective, SOCRATES builds up an outranking matrix. It provides an illustrative visualisation of how one alternative compares against another one, taking into account all the criteria.

In the Condominium example-related table, under the assumption of equal dimensions weights, it can be noticed that for example, "Garden" outperformed "Baby Playground" for about 2/3 of the criterion weights, and "Vegetable Garden and Orchard" proves to be better than "Garden" for a similar percentage of the total criterion weights. "Vegetable Garden and Orchard" is better than "Baby Playground" for all the criteria taken into consideration. (Screenshot 10).

Multicriteria analysis Equity an	alysis Local Sens	sitivity analysis	Global Sensitivity analysis				
1 Alternatives	2 Dimensions, Objectiv	es and Criteria	3 Impact matrix	4 Pairwise compariso	n Outr	e e e e e e e e e e e e e e e e e e e	
Outranking matrix							
		Garden	Baby Playground	Parking Area	Paved Area	Vegetable Garden and Orchard	
Garden		0	0.73	0.73	0.81	0.24	
Baby Playground		0.27		0.64	0.7	0	
Parking Area		0.27			0.6		
Paved Area		0.19					
Vegetable Garden and Orchard		0.76		0.85	0.89		

Screenshot 10 - Multi-Criteria Analysis. Step 5: Outranking Matrix.

MULTI-CRITERIA ANALYSIS							$\rangle$	$\rangle$
Alternatives	Dimensions, objectives, and criteria	Impact matrix	Pairwise comparison	Outranking	Ranking			
_1_	2		4	5	<u> </u>			

# What can you do in Step 6?

I can read the final **Ranking**. To do it, I can:



Visualise the final ranking



Open Advanced options



**Display** the Borda loser in ranking (please see Section 5.2 for more details)



Display the frequency matrix (please see Section 5.2 for more details)

The application of a mathematical aggregation rule on the information contained in the impact matrix generates the final ranking of the alternatives (i.e. the technical compromise solution/s). The winning alternative for the case of the Condominium common area results in the Vegetable Garden and Orchard, followed by the Garden, the Baby Playground, the Parking Area, and the Paved Area (Screenshot 11). It is computed by using a non-compensatory aggregation rule.

Multicriteria analysis	s Equity analysis Local Sensitivity analysis	Global Sensitivi	ty analysis			
1	)2	3	)		5	<b>?</b>
Alternati	ives Dimensions, Objectives and Criteria	Impact m	natrix	Pairwise comparison	Outranking matrix	Ranking
Ranking						0
Rank						
7.71	😤 Vegetable Garden and Orchard		Garden	Baby Playground	Parking Area	Paved Area
+ Advanced						
+ Frequency matrix						

Screenshot 11 - Multi-Criteria Analysis. Step 6: Ranking.

# 4.2 Equity analysis

**Equity analysis** requires as input a set of social actors and the qualitative or quantitative assessment of the alternatives considered in the Multi-Criteria Analysis. Weights to social actors can be attached if needed; the starting point is the equal weighting assumption. The Equity Analysis consists of 3 Steps (Figure 4). The Step 1, Social actors and weights, defines who are the groups involved in the decision-making process and their weight (importance) in the decision.

The Social impacts matrix contains the assessment (qualitative in the form of linguistic variables, ++/-, ordinal scores or quantitative in the form of numeric scores) of each social actor on the whole set of alternatives considered; the Dendrogram of coalition illustrates similarities and divergences among them.

MULTI-CRITERIA ANALYSIS	EQUITY ANALYSIS	LOCAL SENSITIVITY ANALYSIS	GLOBAL SENSITIVITY ANALYSIS
1 Alternatives	1 Social actors & weights	1 Dimensions	Summary
2 Dimensions, objectives, and criteria	2 Social impacts	2 Criteria	
3 Impact matrix	3 Dendrogram	3 Dimension - Criteria summary	
4 Pairwise comparison		4 Dimension weights summary	
5 Outranking		5 Criteria weights summary	
6 Ranking		Group weights summary	

Figure 4 - SOCRATES second task: the Equity Analysis in 3 steps.

Thus, the equity analysis produces the following information:

- indications of the distance of the positions of the various social groups (i.e. possibilities of convergence of interests or coalition formations);
- ranking of the alternatives according to actors' impacts or preferences (social compromise solution).

The decision-making process can also be iterated by adding further compromise alternatives in Step 2 of the equity analysis. If you add an alternative in this task, do not forget to return to Step 3 in Multi-Criteria Analysis to complete the Impact Matrix.

	EQUITY ANALYSIS		
Social actors & weights	Social impacts	Dendrogram	
1	2	3	

# What can you do in Step 1?

I can define Social actors and weights. To do it, I can:

0	<b>Add group</b> Add a group of social actors in the decision model
¢	<b>Groups</b> Assign the same weight to all the groups
	<b>Weight</b> Change the weight of each group moving the scroll bar
Ø	Manage Allow to enter name and description of a group
Û	<b>Delete</b> Delete a group

In this step, you can add social actors' groups and manage their relative importance (weight) in the decision making by moving the scroll bar (Screenshot 12).



Screenshot 12 - Equity Analysis. Step 1: Social actors and weights.

	EQUITY ANALYSIS		
Social actors & weights	Social impacts	Dendrogram	
	2	3	

# What can you do in Step 2?

I can define the **Social impacts** through the Equity Matrix. To do it, I can:



#### Add alternative

Insert new alternatives to the decision problem



#### **Manage Groups**

Enter the name and description, and set a qualitative evaluation scale for a group



## **Manage Alternatives**

Enter the name and description of an alternative



#### Delete

Delete groups or alternatives

The core part of this step consists in managing the Groups. If you add an alternative to the Equity Matrix, you can manage the name and description. First, you must select **one of the three qualitative evaluation scales** linguistic variables (From "Very Good" to "Very Bad", Plus/Minus (from "+++" to "---") or ordinal scores (1-st, 2-nd, third, etc.), or the **quantitative numeric scores**. Thus, you must enter the value of each group preference for each alternative to complete the Equity Matrix (Screenshot 13).

1 Social actors	) & weights	Social	impact	3 Dendrogram						
Social impact	iocial impact									
					Add alternative					
	Garden 🖋 🗊	Baby Playground 🥒 📋	Parking Area 🥒 📋	Paved Area 🥒 📋	Vegetable Garden and Orchard 🥒 📋					
G1 Merchants (Occupants of the ground floor) ✔ 面	Fairly Bad \$	Very Bad 🗣	Fairly Good ¢	Very Good \$	Fairly Bad 🗢					
G2										
Professional consultants (occupants of 1° / 2° floor)	Very Good \$	Very Bad +	Very Good 🗢	Fairly Good \$	Very Bad \$					
G3 Residents of the 3° floor 🖋 🏛	Very Good 🗢	Very Good 🗢	Neutral \$	Bad ¢	Very Good 🗢					
G4 Residents of the 4* floor 🖋 🛅	Good ¢	Good ¢	Neutral ¢	Neutral ¢	Very Good ¢					
G5 Residents of the 5° floor 🧳 🏛	Fairly Good \$	Neutral \$	Good ¢	Neutral \$	Good ¢					
G6 Residents of the 6° floor 🖋 箇	Neutral \$	Fairly Good \$	Very Good \$	Fairly Bad 🗢	Fairly Good ¢					
	Type Linguistic #									

Screenshot 13 - Equity Analysis. Step 2: The social impact matrix.

EQU	ITY ANALYSIS		> >
Social actors & weights	Social impacts	Dendrogram	

# What can you do in Step 3?

I can appreciate the **Dendrogram** of actors' coalitions. To do it, I can:



Visualise graphical rankings and actors coalitions through the dendrogram



Select nodes of the dendrogram

The Dendrogram highlights possible coalition formation for decreasing values of the similarity index. The credibility score value is directly proportional to the convergence between the different groups of social actors; as it decreases, so does the degree of convergence (Screenshot 14). The Dendrogram of coalitions depends only on the information contained in the Social Impact matrix (Step 2), while the ranking of alternatives may change according to the weight attached to the Groups.



Screenshot 14 - Equity Analysis. Step 3: The Dendrogram of coalitions.

In the Condominium problem example, all Residents have equal importance (weight) in the final decision, while Merchants and Professional consultants have less weight (Screenshot 12). All the groups converge on Vegetable Garden and Orchard with a credibility threshold of 0.370. The alternatives in red colour are those with the highest conflict.

# 4.3 Local Sensitivity analysis

The objective of **sensitivity analysis** is to check if the rankings provided are stable and to determine which of the input parameters influence the model output. *Local sensitivity analysis* looks at the sensitivity of results to a) the exclusion/inclusion of different criteria and dimensions and b) dimensions, criteria or social actors weight changes; all parameters are changed one per time. This task presents six steps of visualisation and interpretation of results (Figure 5).

MULTI-CRITERIA ANALYSIS	EQUITY ANALYSIS	LOCAL SENSITIVITY ANALYSIS	GLOBAL SENSITIVITY ANALYSIS
1 Alternatives	1 Social actors & weights	1 Dimensions	Summary
2 Dimensions, objectives, and criteria	2 Social impacts	2 Criteria	
3 Impact matrix	3 Dendrogram	3 Dimension - Criteria summary	
4 Pairwise comparison		4 Dimension weights summary	
5 Outranking		5 Criteria weights summary	
6 Ranking		6 Group weights summary	

Figure 5 - SOCRATES third task: The Local Sensitivity Analysis in six steps.

$\rangle$	LOCAL SENSITIVITY ANALYSIS					
	Dimensions	Criteria	Dimensions - Criteria summary	Dimensions weights summary	Criteria weights summary	Group weights summary

# What can you do in Step 1?

I can check the robustness of the original ranking to the exclusion/inclusion of different **Dimensions**, one by one. To do it, I can:



Visualise the original ranking and the local rankings per each dimension



Identify the winner alternative



Identify the winner alternative for that specific ranking

You can visualise the *Original ranking* at the top of the screen, while the lower table contains the local rankings produced when you exclude (~) one dimension or when one dimension only is used (Screenshot 15).

The dimension row accompanied by the tilde (~) indicates a ranking that computes all Dimensions excluding the indicated one (e.g. The "~ Economy" row shows the ranking obtained by excluding the *Economy* dimension). Instead, the row of the indicated dimension represents the ranking obtained

considering only that dimension (e.g. The "Economy" row shows the ranking by including only the *Economy* dimension).

Dimensions	2 Criteria Dimensions -	3 Criteria summary	Dimension	- 4 s weights summary Criteri	a weights summary	Groups weights summary
Dimensions						
						5°
Original ranking	😨 Vegetable Garden and Orchard		Garden	Baby Playground	Parking Area	Paved Area
Dimension						5*
~ Economy	Tugetable Garden and Orchard	Garden		Baby Playground	Parking Area	Paved Area
Economy	🍷 Vegetable Garden and Orchard	Garden		Baby Playground	Parking Area	Paved Area
~ Society	T Vegetable Garden and Orchard	Garden		Baby Playground	Paved Area	Parking Area
Society	T Vegetable Garden and Orchard	Baby Playg	round	Garden	Parking Area	Paved Area
~ Environment	T Vegetable Garden and Orchard	Garden		Baby Playground	Parking Area	Paved Area
Environment	Vegetable Garden and Orchard	Garden		Baby Playground	Paved Area	Parking Area

Screenshot 15 - Local Sensitivity Analysis. Step 1: Robustness analysis according to the inclusion/exclusion of the Dimensions.

>	LOCAL SENSITIVITY ANALYSIS					
	Dimensions	Criteria	Dimensions - Criteria summary	Dimensions weights summary	Criteria weights summary	Group weights summary

# What can you do in Step 2?

I can check the robustness of the original ranking to the exclusion of each **Criterion**, one by one. To do it, I can:



Visualise the original ranking and the local rankings excluding criteria one by one



**Identify** the winner alternative



Identify the winner alternative for that specific ranking

You can visualise the *Original ranking* at the top of the screen, like in the previous Step, while the lower table contains the local rankings produced when you exclude (~) one criterion at once (Screenshot 16). In the Criteria table, you can appreciate the ranking stability. In the example of the "Condominium problem", local rankings are always the same, but in other cases, they may change.

1 Dimensions	Criteria	3 Dimensions - Criteria summary	Dimension	-4	Criteria	weights summa	ry	6 Groups weights summary
Criteria								
	۱۰		2º	5	le .		4°	5°
Original ranking	🟆 Vegetable Garder	n and Orchard	Garden	Baby Pla	iyground	Pa	arking Area	Paved Area
Criteria								
~ Ordinary costs		👷 Vegetable Garden and Orchard		Garden	Baby Playground		Parking Area	Paved Area
~ Extra-ordinary costs		🝷 Vegetable Garden and Orchard		Garden	Baby Playground		Parking Area	Paved Area
~ Mean apartments income		👳 Vegetable Garden and Orchard		Garden	Baby Playground		Parking Area	Paved Area
~ Recreation spaces		🛫 Vegetable Garden and Orchard		Garden	Baby Playground		Parking Area	Paved Area
~ Shared facilities		🝷 Vegetable Garden and Orchard		Garden	Baby Playground		Parking Area	Paved Area
~ Legal disputes		🝷 Vegetable Garden and Orchard		Garden	Baby Playground		Parking Area	Paved Area
~ Willingness to take care of common spaces		😤 Vegetable Garden and Orchard		Garden	Baby Playground		Parking Area	Paved Area
~ Healthy air		😤 Vegetable Garden and Orchard		Garden	Baby Playground		Parking Area	Paved Area
~ Noise level		😤 Vegetable Garden and Orchard		Garden	Baby Playground		Parking Area	Paved Area
~ Climate mitigation		😤 Vegetable Garden and Orchard		Garden	Baby Playground		Parking Area	Paved Area
~ Community composting		😤 Vegetable Garden and Orchard		Garden	Baby Playground		Parking Area	Paved Area
~ Recycling capacity		😤 Vegetable Garden and Orchard		Garden	Baby Playground		Parking Area	Paved Area

Screenshot 16 - Local Sensitivity Analysis. Step 2: Robustness analysis according to the inclusion/exclusion of the Criteria.

$\rightarrow$		LOCAL SENSITIVITY ANALYSIS					
Í	Dimensions	Criteria	Dimensions - Criteria summary	Dimensions weights summary	Criteria weights summary	Group weights summary	
	1	_2_		4	5	6	

# What can you do in Step 3?

I can read a **Dimensions - Criteria summary**. To do it, I can:



Visualise the original ranking and the *Dimensions - Criteria summary* matrix



**Identify** the winner alternative



Visualise the normalised values of the matrix in pie charts



Visualise the absolute values of the matrix in bar charts



**Scroll** the cursor up the bar charts and pie charts to display how many times an alternative is placed in each ranking position

If you look at the rows, you can see how many times each Alternative is present in each ranking position (both in absolute and relative terms). On the contrary, each column shows how each ranking position is divided among the various alternatives (in absolute and relative terms). In the example,

indeed, the "Vegetable Garden and Orchard" seats at the first position 18 times which is the frequency of 100% (Screenshot 17).



Screenshot 17 - Local Sensitivity Analysis. Step 3: Robustness analysis according to Dimensions - Criteria summary.

$\rightarrow$			LOCAL S	ENSITIVITY ANA	LYSIS		
Í	Dimensions	Criteria	Dimensions - Criteria summary	Dimensions weights summary	Criteria weights summary	Group weights summary	
	1	_2	3	4	5	6	

# What can you do in Step 4?

I can see how the results change when the weight of one dimension increases and that of the other dimensions remains equally distributed. To do it, I can:



Visualise the original ranking and the *Dimensions weights summary* 



**Identify** the winner alternative



Visualise the normalised values of the matrix in pie charts



Visualise the absolute values of the matrix in bar charts

**Scroll** the cursor up the bar charts and pie charts to display how many times an alternative is placed in each ranking position

If you look at the rows, you can see how many times each Alternative is present in each ranking position (both in absolute and relative terms). On the contrary, each column shows how each ranking position is divided among the various alternatives (in absolute and relative terms). As the example shows, the ranking remains robust if you increase, one at a time, the weight of dimension up to 50% (Screenshot 18). The Vegetable Garden and Orchard alternative remains always in 1st place. The same stability applies to all the other positions in the ranking.



Screenshot 18 - Local Sensitivity Analysis. Step 4: Robustness analysis according to Dimension weights summary.

$\geq$		LOCAL SENSITIVITY ANALYSIS					
Í	Dimensions	Criteria	Dimensions - Criteria summary	Dimensions weights summary	Criteria weights summary	Group weights summary	

# What can you do in Step 5?

I can see how the results change when the weight of one Criterion increases and that of the other Criteria remains equally distributed. To do it, I can:



Visualise the final ranking and the Criteria weights summary



Identify the winner alternative



Visualise the normalised values of the matrix in pie charts



**Visualise** the absolute values of the matrix in bar charts



**Scroll** the cursor up the bar charts and pie charts to display how many times an alternative is placed in each ranking position

If you look at the rows, you can see how many times each Alternative is present in each ranking position (both in absolute and relative terms). On the contrary, each column shows how each ranking position is divided among the various alternatives (in absolute and relative terms). SOCRATES increases the weight of one criterion till a maximum of 0.5 and distributes those of the others equally, to check the original ranking robustness. Looking at the example (Screenshot 19), you can notice that Vegetable Garden and Orchard placed at the first position 503 times (99.8%).



Screenshot 19 - Local Sensitivity Analysis. Step 5: Robustness analysis according to Criteria weights summary.

$\rightarrow$			LOCAL S	ENSITIVITY ANA	LYSIS		
Í	Dimensions	Criteria	Dimensions - Criteria summary	Dimensions weights summary	Criteria weights summary	Group weights summary	
	1	_2	3	4	5	6	

# What can you do in Step 6?

I can see how the results change when the weight of one Group of social actors increases and that of the other groups remains equally distributed. To do it, I can:



Visualise the final ranking and the Group weights summary



Identify the winner alternative



Visualise the normalised values of the matrix in pie charts



Visualise the absolute values of the matrix in bar charts



**Scroll** the cursor up the bar charts and pie charts to display how many times an alternative is placed in each ranking position

If you look at the rows, you can see how many times each Alternative is present in each ranking position (both in absolute and relative terms). On the contrary, each column shows how each ranking position is divided among the various alternatives (in absolute and relative terms). Looking at the example (Screenshot 20), you can notice that "Vegetable Garden and Orchard" placed at the first position only 6 times (2.94%), while "Parking Area" results in the first position 153 times (75%), "Garden" is first 45 times (22.06%). As one can see, in this case results are very sensitive to weight changes.

Dimensions	Criteria	3 Dimensions - Criteria	summary Dimensions	4 weights summary Crite	5 ria weights summary	Groups weights summary
Groups weights summary						
Original ranking	🝷 Vege	table Garden and Orchard	Garden	Baby Playground	Parking Area	Paved Area
		1º place	2º place	3º place	4° place	5º place
Garden		45 (22.06%)	132 (64.71%)	27 (13.24%)	0 (0.00%)	0 (0.00%)
Baby Playground		0 (0.00%)	0 (0.00%)	5 (2.45%)	86 (42.16%)	113 (55.39%)
Parking Area		153 (75.00%)	15 (7.35%)	31 (15.20%)	5 (2.45%)	0 (0.00%)
Paved Area		0 (0.00%)	16 (7.84%)	28 (13.73%) 69 (33.82%)		
Vegetable Garden and Orchard		6 (2.94%)	41 (20.10%)	113 (55.39%)		0 (0.00%)
	arden	Baby Playground	Parking Area	Paved Area	Vegetable Garden ar	id Orchard
10 100 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	place 550	2 <sup>2</sup> place 150	3º place	4º place	5º place	

Screenshot 20 - Local Sensitivity Analysis. Step 6: Robustness analysis according to Group weights summary.

# 4.4 Global Sensitivity analysis

*Global sensitivity analysis* focuses on all the possible combinations of criterion weights; all weights are changed simultaneously and extreme values are considered too (each single weight may vary in the whole range 0 -1). The whole information produced by the global sensitivity analyses is synthesized into simple graphics.

MULTI-CRITERIA ANALYSIS	EQUITY ANALYSIS	LOCAL SENSITIVITY ANALYSIS	GLOBAL SENSITIVITY ANALYSIS
1 Alternatives	1 Social actors & weights	1 Dimensions	Summary
2 Dimensions, objectives, and criteria	2 Social impacts	2 Criteria	
3 Impact matrix	3 Dendrogram	3 Dimension - Criteria summary	
4 Pairwise comparison		4 Dimension weights summary	
5 Outranking		5 Criteria weights summary	
6 Ranking		Group weights summary	

Figure 6 - SOCRATES fourth task: The Global Sensitivity Analysis.



# What can you do at the end?

I can see how the results change when all the parameters in the model are changed simultaneously. To do it, I can:



**Visualise** the original ranking and how many times an alternative goes in each ranking position.



Visualise the normalised values of the matrix in pie charts



Visualise the absolute values of the matrix in bar charts



Advanced option

Set the *Sobol value* (please see Section 5.3 for more details)

The Global Sensitivity Analysis summary is synthesised in this step through the table and the charts, like in the Local Sensitivity Analysis. If you look at the rows, you can see how many times each Alternative is present in each ranking position (both in absolute and relative terms). On the contrary, each column shows how each ranking position is divided among the various alternatives (in absolute and relative terms).



Screenshot 21- Global Sensitivity Analysis: The summary.

# 5 Advanced settings

In this section, you can find support to understand the advanced functions of SOCRATES; in particular on quantitative variables to implement the Impact Matrix of the multi-criteria analysis, the Borda loser and the Sobol value in the Global Sensitivity Analysis.

# 5.1 The quantitative variables of the Multi-Criteria Analysis

In the section relating to the Impact Matrix (Step 3 of Multi-Criteria task), by clicking on the Manage button, you can access the **Advanced options** (Figure 7), where you can choose Quantitative or Qualitative variables. In the group of quantitative variables, you can select the most appropriate **Score Type** among those proposed: Numeric, Fuzzy, Stochastic, Numeric & Fuzzy (for more information see chapter 4.3.2. of Munda, 2008).

Quantitative		Qualitative	
1 Numeric		1 Linguistic	Good/Bad ++/-
2 Fuzzy	Gaussian LeftRight Flat Symmetric	2 Ordinal	
3 Stochastic	Normal Uniform		
	Triangular LogNormal Gamma		
	Beta Weibull		
4 Numeric and fuzzy	Exponential		

Figure 7 - Advanced options: Qualitative and quantitative variables of SOCRATES.

## 5.1.1 Quantitative score type: Numeric

You may assign a value in the form of a crisp number (*Numeric*) (e.g.: for the cost criterion a precise number expressed in currency unit), or give a quantitative definition affected by different levels and types of uncertainty.

## 5.1.2 Quantitative score type: Fuzzy

Here you can define the membership function of a fuzzy variable.

Depending on the selected *Score Type*, you can obtain a graph choosing among the associated functions proposed. Clicking on Manage and then on Advanced options it is possible to choose the name, the type of function between **Gaussian (i)**, LeftRight (ii), Flat (iii), and Symmetric (iv).

#### i) Gaussian

Referring to the "Condominium problem" example, consider the performance of the "Garden" Alternative with respect to the "Extra-ordinary costs" Criterion. To streamline the process of implementation, all quantitative values corresponding to the performance of Alternatives according to the "Extra-ordinary costs" Criterion have been assumed as Numeric variables in the Impact Matrix. However, it is easy to understand that the values relating to "extra-ordinary costs" are not precisely quantifiable since it is difficult to estimate them exactly. Therefore, consider that this criterion belongs to the fuzzy category encoding imprecision and uncertainty. The first fuzzy number you can use is the **Gaussian** curve (Screenshot 22). To obtain the corresponding graph, you have to enter the values of the parameters "m" and "k". Keeping the "m" value constant, as "k" increases the curve becomes closer to "m". Assuming m=800 corresponding to the value used in the Impact Matrix of the "Condominium problem" example, to quantify "Extra-ordinary costs" related to "Garden" Alternative, the Gaussian curve shown in Screenshot 22 can be obtained.

-	Garden 🖋 🛍
Extra-ordinary costs Score Qualitative Type Quantitative Advanced options	1 0.8 0.6 0.4 0.2 0 796 797.6 799.3 800.9 802.6
Fuzzy 🗢	Name
Measure unit €/year	Function Gaussian ¢
Goal 💿 Minimize	m 800
Type Maximize	k 1

Screenshot 22 - Parameter setting for fuzzy function in the case of Gaussian quantitative variables.

#### ii) LeftRight

You can enter and manage three parameters to display the graph of the **LeftRight** fuzzy number (Screenshot 23):

"l" - the *left extreme* of the range;

"u" - the *right extreme* of the range;

"m" - the *modal value*.



Screenshot 23 - The parameters setting for fuzzy function in the case of LeftRight quantitative variables.

The "m" parameter can vary from the value immediately higher and closer to the extreme left (minimum) to the value immediately lower and closer to the extreme right (maximum) by changing the interval value in which the fuzzy membership is equal to 1, i.e. maximum membership.

Regarding the "Condominium problem" example, let's assume to ignore the exact (crisp) maintenance cost for the winner alternative "Vegetable Garden and Orchard". The Condominium administrator provides the co-owners with some budget estimation from third party companies. The eligible maintenance costs are not very precise since the design of the alternative is ongoing. The costs (€/year) vary in three ranges related to the preliminary estimation provided by the companies:

- The first interval of costs: from 3650 to 4000, including brushwood removal and vegetable garden fertilisation;
- The second interval of costs: from 3700 to 4200, including brushwood removal, fertilisation, cleaning, and gardener (once a month for six months);
- The third interval of costs: from 3800 to 4300, including brushwood removal, fertilisation, cleaning, and gardener (once a month for eight months).

From these ranges, you must first select the *left extreme* (minimum) equal to 3650 and the *right extreme* (maximum) equal to 4300. Then you can enter these values in Socrates and appreciate the variation of the fuzzy function graph when you change the *modal value* "m".

#### iii) Flat

Consider that you want to calculate the "Extra-ordinary costs" for "Garden" Alternative. For this purpose, you can choose the **Flat** fuzzy number illustrating the most credible numerical interval within which to identify the "Extra-ordinary Cost" relating to the "Garden" Alternative (Screenshot 24).

To obtain the graph of the Flat function, you have to enter first the values of the parameters "l" and "u" respectively corresponding to the lower and upper extremes of the domain of the function, and then "m1" and "m2" representing the extremes of the interval in which the value of the membership function is 1. Assuming "l"= 0, "u"=1500, "m1"= 500 and "m2"= 1000, the Flat curve shown in Screenshot 24 is obtained.

-	Garden 🖋 🛍
Extra-ordinary costs Score Qualitative Type Quantitative — Advanced options	Name Function Flat \$
Fuzzy 🗢	I 0 u 1500
€/year Goal ● Minimize Type ● Maximize	m1 500 m2 1000
✓ Done	✓ Done

Screenshot 24 - The parameters setting for fuzzy function in the case of Flat quantitative variables.

#### iv) Symmetric

The **Symmetric** fuzzy function is similar to the Gaussian except that you need to enter the extreme parameters "l" and "u", corresponding to the range of extreme values in the function domain with "l" being the lower extreme and "u" being the highest extreme of the function domain (Screenshot 25). Assuming uncertainty related to the extraordinary costs (€/year) of the Paved Area in the "Condominium problem" example, a cost range from 100 to 300 may occur. The Symmetric curve shown in Screenshot 25 is obtained by inserting these parameters.

Extra-ordinary costs Score Qualitative Type Quantitative - Advanced options Fuzzy +	1 0.8 0.6 0.4 0.2 0 100 14 Name	8.6 197.2 245.8 294.4
Measure unit	Functi	Symmetric \$
€/year <sub>Goal</sub> ● Minimize	1.1	100
Type 🔵 Maximize	u	300
✔ Done		✔ Done

Screenshot 25 - The parameters setting for fuzzy function in the case of Symmetric quantitative variables.

## 5.1.3 Quantitative score type: Stochastic

In the case of Stochastic uncertainty, you have to choose the probability density function among Normal, Uniform, Triangular, LogNormal, Gamma, Beta, Weibull, Exponential functions (see Screenshots 26 and 27 where you can find examples referring to the Condominium problem).

1. Normal Distribution

This distribution is the most important probability distribution in statistics because it describes many natural and social phenomena. The shape of this distribution is defined by two parameters:

Mean  $\mu$ , and

Standard Deviation  $\sigma$ .

These two parameters correspond to the maximum and width of the curve.

2. Continuous uniform distribution

The uniform distribution is concerned with events that are equally likely to occur inside a range whose bounds are defined by the two parameters, a and b ( $a \le b$ ).

3. Triangular Distribution

The shape of this distribution is a triangle defined by three parameters:

minimum value a,

maximum value b,

the most likely value (i.e. the peak value) c.

In many real-world situations one can often estimate these parameters.

#### 4. LogNormal

The Lognormal distribution needs the definition of two parameters:

Mean µ, and

#### Standard Deviation $\sigma$ .

This distribution can model various natural phenomena such as fatigue failure, failure rates, phenomena involving a large range of data the length of chess games and more.

#### 5. Gamma

The Gamma distribution was introduced to predict waiting time, thus parameters defining it can only be positive ones. They are:

k called the shape parameter and

 $\theta$ , the scale parameter.

It is very flexible and is used to model continuous variables that are not symmetric.

#### 6. Beta

The Beta distribution is defined on the interval [0, 1] and require two positive parameters alpha ( $\alpha$ ) and beta ( $\beta$ ) that define its shape. Like the Gamma distribution is very flexible, for example in Screenshot 27, there is a special case of Beta distribution with  $\alpha$ = $\beta$ =1. In this case the uniform distribution with a=0 and b=1 is obtained.

The Beta distribution is widely used in many different contexts, from modelling the access to internet pages to the wavelet analysis.

#### 7. Weibull

This distribution named after its inventor, require two positive parameters:

 $\boldsymbol{\lambda}$  called the scale parameter, and

k, the shape parameter.

This distribution is very versatile and is widely used in many scientific contexts.

#### 8. Exponential

The exponential distribution is special case of the gamma distribution. It requires a positive parameter  $\lambda$ , often called the rate parameter. It is often used to measure the expected time for an event to occur.



Screenshot 26- Examples of Stochastic functions Normal, Uniform, Triangular, LogNormal.

•	Gar	den 🖋 🛍	Baby Pla	ayground 🖋 🛍	Parkir	ng Area 🖋 🛍	Pave	ed Area 🕜 🛍
Extra-ordinary costs Score Qualitative Type Quantitative — Advanced options	1 0.8 0.6 0.4 0.2 0 0 0.3 0	5 0.8 1.1 1.3 1.6 1.8	1- 0.8 0.6 0.4 0.2 0- 0 0.1 0	0.3 0.4 0.5 0.7 0.8 0.9	1 0.8 0.6 0.4 0.2 0 0 0.4 0	0.8 1.1 1.5 1.9 2.3 2.7	1 0.8 0.6 0.4 0.2 0	
Stochastic 🗢	Name		Name		Name		0 0.3	0.5 0.8 1.1 1.3 1.6 1.8
Measure unit	Function	Gamma 🗢	Function	Beta 🗢	Function	Weibull \$	Function	Exponential 🗢
Goal Minimize	к	1	α	1	λ	1	λ	1
✓ Done	θ	T	β	T Done	к	1 ✓ Done		✓ Done

Screenshot 27: Examples of Stochastic functions Gamma, Beta, Weibull, Exponential.

# 5.1.4 Quantitative score type: Numeric and fuzzy

When defining criterion scores, you can also use the **Numeric and Fuzzy** function. It allows you to get Numeric scores and Fuzzy scores on the same criterion simultaneously. In the case of the Condominium you can appreciate this function for the "mean apartment income" criterion, whose values are shown as Numeric for the Garden and the Parking Areas, and Fuzzy for the Baby Playground, the Paved Area and the Vegetable Garden and Orchard (Screenshot 28).



Screenshot 28 - Numeric and Fuzzy function.

# 5.2 Borda loser and frequency matrix

In the Ranking step of the Multi-Criteria Analysis you can display the Borda loser alternative and the reverse ranking in the drop down menu of the Frequency matrix.

The **Borda loser** button changes the colour of the loser alternative in this step (Screenshot 29) and in the steps of the Sensitivity Analysis.

Multicriteria analysis	Equity analysis	Local Sensitivity analysis Global Ser	sitivity analysis				
(1	)	(2)	(3	)	(4)	(5)	<del>``</del>
Alterna	atives	Dimensions, Objectives and Criteria	Impact m	natrix	Pairwise comparison	Outranking matrix	Ranking
Ranking							0
Rank		1*	_	2º	3*	40	5*
Rank 7.71		1° 😤 Vegetable Garden and Orchard		2° Garden	3ª Baby Playground	4" Parking Area	5° Pared Assa
Rank 2.71 — Advanced		1*  Vegetable Garden and Orchard		2° Garden	3° Baby Playground	4º Parking Area	5° Hured Area
Rank 7.71 — Advanced O Display Borda Io	poser in ranking	1*  Vegetable Garden and Orchard		2º Garden	3* Baby Playground	4° Parking Area	5° Panol Atos

Screenshot 29 - Numeric and Fuzzy function.

In the Frequency matrix you can observe the reversed ranking in terms of the number of times each alternative is placed at each ranking position starting from the last position (Screenshot 30).

Ranking							0
Rank						4"	
7.71	🝷 Vegetable Garden and Orchard	Garc	den	Baby Playground	Parki	ng Area	
- Advanced							
🜔 Display Borda lo	oser in ranking						
- Frequency matrix							
Garden		0.1		0.06	0.25	0.53	0.06
Baby Playground		0.:			0.44		
Parking Area		0.3		0.42	0.06	0.11	0.08
Paved Area		0.3				0.14	
Vegetable Garden and	1 Orchard	0		0.08	0.06	0	0.86

Screenshot 30 - Multi-Criteria Analysis. Step 6: Frequency Matrix from Borda count.

# 5.3 The Sobol value

Global sensitivity analysis focuses on all the possible combinations of criterion weights; all weights are changed simultaneously and with no constraint, i.e. extreme situations such as one criterion receiving 100% of importance are considered. The whole information produced is synthesised into simple graphics.

In this framework, Sobol' numbers are used to generate a representative sample of the total criterion weighting space. As a starting point, SOCRATES generates 1500 Sobol' numbers (called Sobol value); this is the number of simulations performed by SOCRATES to check the stability of the original ranking.

The Sobol value can be increased for more accurate results, but it's recommended to accurately manage it according to the computational machine power. One has to note that indeed the improvement in accuracy is very low, when Sobol value is set bigger than 1500.

# References

Azzini I. and Munda G. (2020) *A New Approach for Identifying the Kemeny Median Ranking*, European Journal of Operational Research, 281, 388-401, doi:10.1016/j.ejor.2019.08.033

Munda G. (2004) *Social multi-criteria evaluation: Methodological foundations and operational consequences*, European Journal of Operational Research, 158(3), 662–677, doi:10.1016/s0377-2217(03)00369-2

Munda G. (2008) *Social Multi-Criteria Evaluation for a Sustainable Economy*, Springer-Verlag, doi:10.1007/978-3-540-73703-2

Munda G. (2009) *A conflict analysis approach for illuminating distributional issues in sustainability policy*, European Journal of Operational Research, 194(1), 307–322, doi:10.1016/j.ejor.2007.11.061

Munda G. and Nardo M. (2009) *Non-compensatory/non-linear composite indicators for ranking countries: a defensible setting*, Applied Economics, 41, 1513–1523. doi:10.1080/00036840601019364

Munda G. (2012) *Intensity of preference and related uncertainty in non-compensatory aggregation rules*. Theory and Decision, 73(4), 649–669, doi:10.1007/s11238-012-9317-4

Munda G. (2022) *Qualitative reasoning or quantitative aggregation rules for impact assessment of policy options? A multiple criteria framework*, Quality & Quantity. International Journal of Methodology, Vol. 56, pp. 3259–3277, doi:10.1007/s11135-021-01267-8

Saltelli A, Annoni P, Azzini I, Campolongo F, Ratto M, Tarantola S. (2010) *Variance based sensitivity analysis of model output. Design and estimator for the total sensitivity index.* Computer Physics Communications, 181 (2), 259–270, doi:10.1016/j.cpc.2009.09.018

# List of abbreviations and definitions

- GUI Graphical User Interface
- IA Impact Assessment
- MCDA Multi-Criteria Decision Analysis
- SMCE Social Multi-Criteria Evaluation
- SOCRATES SOcial multi-CRiteria AssessmenT of European policieS

List of boxes	
Box 1: Copyright warning, legal notices and disclaimer	5

# List of figures

Figure 1 - SOCRATES workflow	10
Figure 2 - SOCRATES first task: The Multi-Criteria Analysis in six steps	11
Figure 3 - Dimensions, Objectives, and Criteria of the "Condominium problem"	14
Figure 4 - SOCRATES second task: the Equity Analysis in 3 steps	21
Figure 5 - SOCRATES third task: The Local Sensitivity Analysis in six steps	25
Figure 6 - SOCRATES fourth task: The Global Sensitivity Analysis	32
Figure 7 - Advanced options: Qualitative and quantitative variables of SOCRATES	34

# List of screenshots

Screenshot 1 - SOCRATES' Graphical User Interface	10
Screenshot 2 - Multi-Criteria Analysis. Step1: input of alternatives	12
Screenshot 3 - Multi-Criteria Analysis. Step 2: categories pie chart	14
Screenshot 4 – Multi-Criteria Analysis. Step 2: zooming into categories pie chart	15
Screenshot 5 - Preference and Indifference Thresholds.	16
Screenshot 6 - Multi-Criteria Analysis. Step3: Impact Matrix	17
<b>Screenshot 7</b> – Multi-Criteria Analysis. Step 4: Pairwise comparison. Bar charts showing pairwise comparisons among alternatives according to <i>Ordinary Costs</i> and Extra- <i>ordinary Costs</i> . Visualizat of preference and indifference relations.	tion 18
<b>Screenshot 8</b> - Multi-Criteria Analysis. Step 4: Pairwise comparison. The bar charts show the pairwise comparisons among alternatives according to <i>Mean apartments income, Recreation spac</i> and <i>Shared facilities</i> . Visualization of preference and indifference relations.	<i>ces</i> 18
<b>Screenshot 9</b> - Multi-Criteria Analysis. Step 4: Pairwise comparison. The bar charts show pairwise comparisons among alternatives according to <i>Healthy air, Noise level</i> and <i>Climate mitigation</i> . Visualization of preference and indifference relations.	e 19
Screenshot 10 - Multi-Criteria Analysis. Step 5: Outranking Matrix	20
Screenshot 11 - Multi-Criteria Analysis. Step 6: Ranking	21
Screenshot 12 - Equity Analysis. Step 1: Social actors and weights.	22
Screenshot 13 - Equity Analysis. Step 2: The social impact matrix	23
Screenshot 14 - Equity Analysis. Step 3: The Dendrogram of coalitions	24
<b>Screenshot 15</b> - Local Sensitivity Analysis. Step 1: Robustness analysis according to the inclusion/exclusion of the Dimensions	26
<b>Screenshot 16</b> - Local Sensitivity Analysis. Step 2: Robustness analysis according to the inclusion/exclusion of the Criteria	27
<b>Screenshot 17</b> - Local Sensitivity Analysis. Step 3: Robustness analysis according to Dimensions - Criteria summary	28
<b>Screenshot 18</b> - Local Sensitivity Analysis. Step 4: Robustness analysis according to Dimension weights summary.	29
<b>Screenshot 19</b> – Local Sensitivity Analysis. Step 5: Robustness analysis according to Criteria weigh summary	hts 30
Screenshot 20 - Local Sensitivity Analysis. Step 6: Robustness analysis according to Group weight summary.	ts 32
Screenshot 21- Global Sensitivity Analysis: The summary	33
Screenshot 22 - Parameter setting for fuzzy function in the case of Gaussian quantitative variable	s. 35
<b>Screenshot 23</b> – The parameters setting for fuzzy function in the case of LeftRight quantitative variables.	36
Screenshot 24 - The parameters setting for fuzzy function in the case of Flat quantitative variables	s.37
<b>Screenshot 25</b> – The parameters setting for fuzzy function in the case of Symmetric quantitative variables.	37
Screenshot 26- Examples of Stochastic functions Normal, Uniform, Triangular, LogNormal	39
Screenshot 27: Examples of Stochastic functions Gamma, Beta, Weibull, Exponential	40
Screenshot 28 – Numeric and Fuzzy function	40

Screenshot 29 - Numeric and Fuzzy function	. 41
Screenshot 30 - Multi-Criteria Analysis. Step 6: Frequency Matrix from Borda count	. 41

# Appendix

How to start a	and manage a project
*	Home It takes you to the home screen
START	Start It allows you starting a new project
0	Info It provides you with information <b>About</b> SOCRATES and an email address for <b>Contact</b>
Your projects 🗮	Load a datafile
Manage 🖋	Edit a datafile
Save 🖺	Save a datafile
•	New project
U I	Create a new project from scratch
	Import / Restore
	Load an existing project or restore a backup
Ē	Duplicate
L	Create a copy of your project
	Rename
	Change the name of your project
4	Export
	Export your project in json format
	Backup projects
	Download a backup for all your projects in json format

m	Delete						
W	Delete all data in your project						
	Save on this browser						
	The project is saved in your browser IndexedDB						
	Save and export						
	The project is saved in your browser IndexedDB and you must Download export file						
	from the link that appears under the Save button						
	MULTI-CRITERIA ANALYSIS						
Alternatives Dime	ensions, objectives, and criteria Impact matrix Pairwise comparison Outranking Ranking						
—- <u>1</u> ——	356						
	Add Alternatives						
U	This hutton allows you to create a new alternative						
<b>A</b>	Manage Alternatives						
	This button allows you to edit the name of the alternative and add a brief description						
<b>A</b>	Delete Alternatives						
	This button allows you to delete an alternative						
	This button allows you to delete an alternative						
	MULTI-CRITERIA ANALYSIS						
Alternatives Dime	ensions, objectives, and criteria Impact matrix Pairwise comparison Outranking Ranking						
	-						
Add dimension	Add Dimension						
	This button allows you to create new Dimensions						
	Add Dimension						
	This button allows you to graate new Dimensions using the nie chart graph						
	This button allows you to create new Dimensions using the ple chart graph						
0	Add Objective/Add Criterion						
	This button allows you creating new items in the lower category in terms of Objectives (if you click on Add objective) and Criteria (if you click on Add criterion)						
<b>A</b>	Manage						
	This button allows you to change the name of the category and add a brief description.						

	<b>Weight</b> You can set the Weight of an item using this scrollbar.
≡	Move This button allows you changing the order of items
Û	<b>Delete</b> This button allows you to delete an item (dimension, objective, criterion)
Dimensions	<b>Dimensions</b> This button allows you assigning the same weight to all Dimensions
🔇 Criteria	<b>Criteria</b> This button allows you assigning the same weight to all Criteria
•	Zoom In Clicking on the area related to a specific category within the pie chart you can visualise the specific branch of decision-problem and the hierarchical levels subordinated to it
Back	<b>Zoom Out</b> Clicking on Back you return to the pie chart original view
	MULTI-CRITERIA ANALYSIS
Alternatives Dime	ansions, objectives, and criteria Impact matrix Pairwise comparison Outranking Ranking
• Add alternative	Add Alternatives (in addition to those created in the Step 1)
Ø	<b>Manage</b> Alternatives This button allows you to change the name of Alternatives and add a brief description
Û	<b>Delete</b> This button allows you to delete Alternatives
ø	Manage Criteria This button allows you to enter in a drop down menu where you can: Set the <i>Score Type</i> (Quantitative, Qualitative), Access to the <i>Advanced options</i>

# Select Goal Type/Scale



# Thresholds

This button allows you to set the preference and indifference thresholds of criteria



# Import

This button allows you to import the Impact Matrix as a csv file

	MULTI-0	CRITERIA A	NALYSIS			$\rightarrow$	$\rightarrow$
Alternatives Din	ensions, objectives, and criteria	Impact matrix	Pairwise comparison	Outranking	Ranking		
_1	2		-4	5	<u>6</u>		
6	<b>Visualise</b> the final r	anking					
		9					
+ Advanced options	Open <b>Advanced opt</b>	ions					
	<b>Display</b> the Borda lo	oser in rar	nking				
+	Display the frequen	cy matrix.					
		FOUR	ANTAT VOTO				
	Social actors & weights	EQUIT	Social impacts	I	Dendrogram		_/
	1		2		3		
•	Add group Add a group of soci Groups Assign the same we	al actors i eight to all	n the decision r groups	nodel			
	<b>Weight</b> Change the weight	of each gr	oup moving the	scroll bai	r		
<b>B</b>	Manage	a and doce	ription of a gra				
<b>A</b>		e anu uest	inpuon or a gro	μ			
Ш							
	Delete a group						

		EQUITY	ANALYSIS			$\rangle$
	Social actors & weights		Social impacts	Dendrogram	n	
	0		2	3		
	Add alternative					
Ð	Add atternative		de sisiene muchtens			
	Insert new alternati	ves in the	decision problem			
	Manage Groups					
	Enter the name and	descriptio	on. and set an eva	luation scale fo	or a group	
			,		<del>5</del> F	
	Manage Alternative	s				
	Enter the name and	descriptio	on of an alternativ	e		
<u>ل</u>	Delete					
	Delete groups or all	ternatives				
$\rightarrow$		LOCAL	SENSITIVITY ANA	LYSIS		$\rightarrow$
	Dimensions Criteria Dime	nsions - Criteria summary	a Dimensions weights summary	Criteria weights summary	Group weights summary	
	2		4	5	6	
- 7						
<u>)</u>	Visualise the origina	al ranking	and the local ran	kings per each	dimension	
2	<b>Identify</b> the winner a	alternative	<u>)</u>			
_						
b	<b>Identify</b> the winner a	alternative	for that specific	ranking		
	,			5		
$\rightarrow$		LOCAL	SENSITIVITY ANA	LYSIS		
Í	Dimensions Criteria Dime	nsions - Criteria summary	a Dimensions weights summary	Criteria weights summary	Group weights summary	
			4	5	6	
_						
න	Visualise the origina	al ranking	and the local ran	kings excluding	criteria one	by one
<b>-</b>	Identify the winner a	alternative	2			
		- 14	fan that an 10	na a bir -		
2	identify the winner a	atternative	e for that specific	ranking		

$\rangle$		LOCAL S	ENSITIVITY ANA	LYSIS		
	Dimensions Criteria	Dimensions - Criteria summary	Dimensions weights summary	Criteria weights summary	Group weights summary	
		3		- 5	6	3
<b>@</b> ]	<b>Visualise</b> the o	riginal ranking a	nd the <i>Dimensio</i>	ns - Criteria su	<i>ummary</i> matrix	
<b>Y</b>	<b>ldentify</b> the wir	nner alternative				
¢	<b>Visualise</b> the n	ormalised values	s of the matrix in	n pie charts		
.lı	<b>Visualise</b> the a	bsolute values of	f the matrix in ba	ar charts		
*	<b>Scroll</b> the cura alternative is p	sor up the bar c laced in each rar	harts and pie c nking position	harts to displa	y how many ti	mes an

$\rightarrow$		LOCAL SENSITIVITY ANALYSIS					
	Dimensions	Criteria	Dimensions - Criteria summary	Dimensions weights summary	Criteria weights summary	Group weights summary	
		_2_	3		5	6	



Visualise the original ranking and the Dimensions weights summary



Identify the winner alternative



.1

 $\mathbf{X}$ 

Visualise the normalised values of the matrix in pie charts

Visualise the absolute values of the matrix in bar charts

Scroll the cursor up the bar charts and pie charts to display how many times an alternative is placed in each ranking position

>	$\rightarrow$	LOCAL SENSITIVITY ANALYSIS					
	Dimensions	Criteria	Dimensions - Criteria summary	Dimensions weights summary	Criteria weights summary	Group weights summary	



Visualise the final ranking and the Criteria weights summary



Visualise the normalised values of the matrix in pie charts



Visualise the absolute values of the matrix in bar charts

Scroll the cursor up the bar charts and pie charts to display how many times an alternative is placed in each ranking position





**Visualise** the original ranking and how many times an alternative goes in each ranking position



Visualise the normalised values of the matrix in pie charts



Visualise the absolute values of the matrix in bar charts



Advanced options

Change the Sobol value

The icons in this guide derive both from SOCRATES software and open source site <u>https://thenounproject.com/</u>.

#### **GETTING IN TOUCH WITH THE EU**

#### In person

All over the European Union there are hundreds of Europe Direct centres. You can find the address of the centre nearest you online (<u>european-union.europa.eu/contact-eu/meet-us\_en</u>).

#### On the phone or in writing

Europe Direct is a service that answers your questions about the European Union. You can contact this service:

- by freephone: 00 800 6 7 8 9 10 11 (certain operators may charge for these calls),
- at the following standard number: +32 22999696,
- via the following form: <u>european-union.europa.eu/contact-eu/write-us\_en</u>.

#### FINDING INFORMATION ABOUT THE EU

#### Online

Information about the European Union in all the official languages of the EU is available on the Europa website (<u>european-union.europa.eu</u>).

#### **EU publications**

You can view or order EU publications at <u>op.europa.eu/en/publications</u>. Multiple copies of free publications can be obtained by contacting Europe Direct or your local documentation centre (<u>european-union.europa.eu/contact-eu/meet-us\_en</u>).

#### EU law and related documents

For access to legal information from the EU, including all EU law since 1951 in all the official language versions, go to EUR-Lex (<u>eur-lex.europa.eu</u>).

#### Open data from the EU

The portal <u>data.europa.eu</u> provides access to open datasets from the EU institutions, bodies and agencies. These can be downloaded and reused for free, for both commercial and non-commercial purposes. The portal also provides access to a wealth of datasets from European countries.

# The European Commission's science and knowledge service Joint Research Centre

# **JRC Mission**

As the science and knowledge service of the European Commission, the Joint Research Centre's mission is to support EU policies with independent evidence throughout the whole policy cycle.



EU Science Hub joint-research-centre.ec.europa.eu

- @EU\_ScienceHub
- **f** EU Science Hub Joint Research Centre
- in EU Science, Research and Innovation
- EU Science Hub

O EU Science

